

Corrections to AdS₅ black hole thermodynamics from higher-derivative supergravity

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Introduction

- Use AdS/CFT to address the **microstate counting of AdS black holes**

Black hole	=	ensemble of states in quantum gravity	$\stackrel{\text{AdS/CFT}}{=}$	ensemble of states in the dual CFT
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$$\log N_{\text{micro}} = \mathcal{S} = \frac{A_{\mathcal{H}}}{4G} + \text{corrections}$$

- Field theory prediction for subleading corrections to BPS entropy and match with **higher-derivative corrections** in the gravitational side
- Focus on AdS₅/CFT₄ and discuss the microstate counting of **supersymmetric AdS₅ black holes of minimal gauged supergravity**
- Dual states should exist in *any* holographic $\mathcal{N} = 1$ SCFT \rightarrow only general properties needed: **superconformal anomalies** play a crucial role

Counting BPS states: the superconformal index

- The relevant quantity is the **superconformal index** (\equiv supersymmetric partition function on $S^1_\beta \times S^3$)

Kinney, Maldacena, Minwalla, Raju; Romelsberger

- Black hole contribution can be isolated by taking a **Cardy-like limit**:

$$-\log \mathcal{I} = \frac{8(5a - 3c)}{27} \frac{\varphi^3}{\omega_1 \omega_2} - \frac{2(a - c)}{3} \frac{\varphi(\omega_1^2 + \omega_2^2 - 4\pi^2)}{\omega_1 \omega_2}$$

$-\log |\mathcal{G}_{1\text{-form}}| + \text{exp-terms}$

Cassani, Komargodski; Choi, J. Kim, S. Kim, Nahmgoong + ...

$\omega_1, \omega_2, \varphi \sim$ chemical potentials of the black hole ($\omega_1 + \omega_2 - 2\varphi = 2\pi i$)

a, c are the **superconformal anomaly coefficients**: $\frac{c - a}{a} \sim \mathcal{O}\left(\frac{1}{N}\right)$

Legendre transform of the superconformal index

- Extremization principle: ($I \equiv -\log \mathcal{I}$)

$$\mathcal{S} = \text{ext}_{\{\omega_1, \omega_2, \varphi, \Lambda\}} [-I - \omega_1 J_1 - \omega_2 J_2 - \varphi Q_R - \Lambda (\omega_1 + \omega_2 - 2\varphi - 2\pi i)]$$
$$-\frac{\partial I}{\partial \omega_i} = J_i + \Lambda, \quad -\frac{\partial I}{\partial \varphi} = Q_R - 2\Lambda, \quad \omega_1 + \omega_2 - 2\varphi = 2\pi i$$

Hosseini, Hristov, Zaffaroni; Cabo-Bizet, Cassani, Martelli, Murthy

- $I = I(\omega_i, \varphi)$ can be written as an homogeneous function of degree 1

$$\mathcal{S} = 2\pi i \Lambda|_{\text{ext}}$$

- Working at linear order in $\mathbf{a} - \mathbf{c}$, one finds that Λ satisfies:

$$\Lambda^3 + p_2 \Lambda^2 + p_1 \Lambda + p_0 + \frac{p_{-1}}{\Lambda - \frac{Q_R}{2}} = 0$$

with $p_\alpha = p_\alpha(Q_R, J_1, J_2)$ real coefficients

- Reality condition ($\text{Im } \mathcal{S} = 0$) $\Leftrightarrow (\Lambda^2 + X)(\text{rest}) = 0$

Field theory predictions

- Reality condition $(\Lambda^2 + X)_{(\text{rest})} = 0$ equivalent to a **constraint** on the charges

$$\begin{aligned} & [3Q_R + 4(2a - c)] [3Q_R^2 - 8c(J_1 + J_2)] \\ &= Q_R^3 + 16(3c - 2a)J_1J_2 + 64a(a - c) \frac{(Q_R + a)(J_1 - J_2)^2}{Q_R^2 - 2a(J_1 + J_2)} \end{aligned}$$

- Corrected **BPS entropy**

$$\mathcal{S} = 2\pi\sqrt{X} = \pi\sqrt{3Q_R^2 - 8a(J_1 + J_2) - 16a(a - c) \frac{(J_1 - J_2)^2}{Q_R^2 - 2a(J_1 + J_2)}}$$

Plan for the rest of the talk

- 1 Review of previous work: two-derivative match
- 2 Include relevant higher-derivative corrections in the gravitational effective action
- 3 Evaluate the on-shell action of the AdS_5 black hole and impose supersymmetry to match the result from the superconformal index
- 4 Corrections to the BPS entropy and charges and match with field theory

Euclidean approach

Gibbons, Hawking

- We set ourselves in the **grand-canonical ensemble** (fixed β, Ω_i, Φ)
- The **grand-canonical partition function** $\mathcal{Z}(\beta, \Omega_i, \Phi)$ is computed by the Euclidean path integral with (anti-)periodic boundary conditions

$$\mathcal{Z}(\beta, \Omega_i, \Phi) \simeq e^{-I(\beta, \Omega_i, \Phi)}$$

- $I(\beta, \Omega_i, \Phi)$ should then be identified with $\beta \times$ (grand-canonical potential), leading to the **quantum statistical relation**

$$I = \beta E - \mathcal{S} - \beta \Omega_i J_i - \beta \Phi Q$$

- Because of the master formula of the **AdS/CFT correspondence**:

$$I(\beta, \Omega_i, \Phi) = -\log \mathcal{Z}_{\text{CFT}}(\beta, \Omega_i, \Phi)$$

Review of AdS₅ black holes

We work with **minimal gauged supergravity in 5d**

$$\mathcal{L} = R + 12g^2 - \frac{1}{4}F^2 - \frac{1}{12\sqrt{3}}\epsilon^{\mu\nu\rho\sigma\lambda}F_{\mu\nu}F_{\rho\sigma}A_\lambda$$

The **most general AdS₅ black hole** depends on **four parameters**:

$$E, J_1, J_2, Q \quad \leftrightarrow \quad \beta, \Omega_1, \Omega_2, \Phi$$

Chong, Cvetic, Lu, Pope

These quantities obey the **first law of black hole mechanics**

$$dE = TdS + \Omega_1dJ_1 + \Omega_2dJ_2 + \Phi dQ$$

as well as the **quantum statistical relation**

$$I = \beta E - S - \beta\Omega_1J_1 - \beta\Omega_2J_2 - \beta\Phi Q$$

BPS (\equiv supersymmetric and extremal) limit

supersymmetry $\not\equiv$ extremality

① Supersymmetric solution if $E - gJ_1 - gJ_2 - \sqrt{3}Q = 0$

② Extremal limit: $\beta \rightarrow \infty$

\Rightarrow BPS charges $\{Q, J_1, J_2\}$ must satisfy a constraint, which in field theory units is:

$$(3Q_R + 4a) [3Q_R^2 - 8a(J_1 + J_2)] = Q_R^3 + 16aJ_1J_2$$

In agreement with the reality condition of the BPS entropy when $c \rightarrow a$:

$$\begin{aligned} & [3Q_R + 4(2a - c)] [3Q_R^2 - 8c(J_1 + J_2)] \\ &= Q_R^3 + 16(3c - 2a)J_1J_2 + 64a(a - c) \frac{(Q_R + a)(J_1 - J_2)^2}{Q_R^2 - 2a(J_1 + J_2)} \end{aligned}$$

BPS on-shell action and entropy

- Evaluating the Euclidean **on-shell action** of the black hole, taking the **BPS limit** and expressing the result in terms of

$$\omega_{1,2} = \beta (\Omega_{1,2} - g), \quad \varphi = \frac{\sqrt{3}g}{2} \beta (\Phi - \sqrt{3})$$

matches expression for the **index** at leading order in large N :

$$\begin{aligned} I &= \frac{16a}{27} \frac{\varphi^3}{\omega_1 \omega_2} = - \lim_{c \rightarrow a} \log \mathcal{I} \\ &= \lim_{c \rightarrow a} \frac{8(5a - 3c)}{27} \frac{\varphi^3}{\omega_1 \omega_2} - \frac{2(a - c)}{3} \frac{\varphi(\omega_1^2 + \omega_2^2 - 4\pi^2)}{\omega_1 \omega_2} \end{aligned}$$

Cabo-Bizet, Cassani, Martelli, Murthy

- The **Legendre transform** of the index reproduces the **Bekenstein-Hawking entropy** Hosseini, Hristov, Zaffaroni; Cabo-Bizet, Cassani, Martelli, Murthy

$$\mathcal{S} = \pi \sqrt{3Q_R^2 - 8a(J_1 + J_2)}$$

The four-derivative effective action

- The **goal** is to go beyond the 2∂ approximation including 4∂ terms

EFT approach: Add **all** the possible **four-derivative terms** that are consistent with the local symmetries of the two-derivative theory

- **Methodology**

- 1 Start from the **off-shell** formulation of 5d **supergravity** where 4∂ supersymmetric invariants have been worked out in the literature

$$\mathcal{L}_{\text{off-shell}} = \mathcal{L}_{\text{off-shell}}^{(2\partial)} + \alpha \left(\tilde{\lambda}_1 \mathcal{L}_{C^2} + \tilde{\lambda}_2 \mathcal{L}_{R^2} + \tilde{\lambda}_3 \mathcal{L}_3 \right)$$

Hanaki, Ohashi, Tachikawa
Bergshoeff, Rosseel, Sezgin
Ozkan, Pang

- 2 **Integrate out** all the **auxiliary fields** at linear order in α
- 3 Use **perturbative field redefinitions** ($g_{\mu\nu} \rightarrow g_{\mu\nu} + \alpha \Delta_{\mu\nu}$, $A_\mu \rightarrow A_\mu + \alpha \Delta_\mu$) to **simplify** the resulting action:

$$S \rightarrow S - \alpha \int d^5x e (\mathcal{E}_{\mu\nu} \Delta^{\mu\nu} - \mathcal{E}^\mu \Delta_\mu) + \mathcal{O}(\alpha^2)$$

$$\begin{aligned}
e^{-1}\mathcal{L}_{C^2}^S &= c_I \left[\frac{1}{8}\rho^I C^{\mu\nu\rho\sigma} C_{\mu\nu\rho\sigma} + \frac{64}{3}\rho^I D^2 + \frac{1024}{9}\rho^I T^2 D - \frac{32}{3} D T_{\mu\nu} F^{\mu\nu I} \right. \\
&\quad - \frac{16}{3}\rho^I C_{\mu\nu\rho\sigma} T^{\mu\nu} T^{\rho\sigma} + 2C_{\mu\nu\rho\sigma} T^{\mu\nu} F^{\rho\sigma I} + \frac{1}{16}\epsilon^{\mu\nu\rho\sigma\lambda} A_\mu^I C_{\nu\rho\tau\delta} C_{\sigma\lambda}{}^{\tau\delta} \\
&\quad - \frac{1}{12}\epsilon^{\mu\nu\rho\sigma\lambda} A_\mu^I V_{\nu\rho}{}^{ij} V_{\sigma\lambda}{}^{ij} + \frac{16}{3} Y_{ij}^I V_{\mu\nu}{}^{ij} T^{\mu\nu} - \frac{1}{3}\rho^I V_{\mu\nu}{}^{ij} V^{\mu\nu}{}_{ij} \\
&\quad + \frac{64}{3}\rho^I \nabla_\nu T_{\mu\rho} \nabla^\mu T^{\nu\rho} - \frac{128}{3}\rho^I T_{\mu\nu} \nabla^\nu \nabla_\rho T^{\mu\rho} - \frac{256}{9}\rho^I R^{\nu\rho} T_{\mu\nu} T^\mu{}_\rho \\
&\quad + \frac{32}{9}\rho^I R T^2 - \frac{64}{3}\rho^I \nabla_\mu T_{\nu\rho} \nabla^\mu T^{\nu\rho} + 1024\rho^I T^4 - \frac{2816}{27}\rho^I (T^2)^2 \\
&\quad - \frac{64}{9} T_{\mu\nu} F^{\mu\nu I} T^2 - \frac{256}{3} T_{\mu\rho} T^{\rho\lambda} T_{\nu\lambda} F^{\mu\nu I} - \frac{32}{3} \epsilon_{\mu\nu\rho\sigma\lambda} T^{\rho\tau} \nabla_\tau T^{\sigma\lambda} F^{\mu\nu I} \\
&\quad \left. - 16\epsilon_{\mu\nu\rho\sigma\lambda} T^{\rho\tau} \nabla^\sigma T^{\lambda\tau} F^{\mu\nu I} - \frac{128}{3}\rho^I \epsilon_{\mu\nu\rho\sigma\lambda} T^{\mu\nu} T^{\rho\sigma} \nabla_\tau T^{\lambda\tau} \right],
\end{aligned}$$

$$\begin{aligned}
e^{-1}\mathcal{L}_{R^2}^S &= a_I \left(\rho^I \underline{Y}_{ij} \underline{Y}^{ij} + 2\rho \underline{Y}^{ij} \underline{Y}_{ij}^I - \frac{1}{8}\rho^I \underline{\rho}^2 R - \frac{1}{4}\rho^I \underline{F}_{\mu\nu} \underline{F}^{\mu\nu} - \frac{1}{2}\rho \underline{F}^{\mu\nu} \underline{F}_{\mu\nu}^I \right. \\
&\quad + \frac{1}{2}\rho^I \partial_\mu \underline{\rho} \partial^\mu \underline{\rho} + \rho^I \underline{\rho} \square \underline{\rho} - 4\rho^I \underline{\rho}^2 (D + \frac{26}{3} T^2) + 4\rho^2 \underline{F}_{\mu\nu}^I T^{\mu\nu} \\
&\quad \left. + 8\rho^I \underline{\rho} \underline{F}_{\mu\nu} T^{\mu\nu} - \frac{1}{8}\epsilon_{\mu\nu\rho\sigma\lambda} A^{\mu I} \underline{F}^{\nu\rho} \underline{F}^{\sigma\lambda} \right).
\end{aligned}$$

The four-derivative effective action

- **Four-derivative Lagrangian:** Cassani, AR, Turetta '22

$$\mathcal{L} = c_0 R + 12c_1 g^2 - \frac{c_2}{4} F^2 - \frac{c_3}{12\sqrt{3}} \epsilon^{\mu\nu\rho\sigma\lambda} F_{\mu\nu} F_{\rho\sigma} A_\lambda$$
$$+ \lambda_1 \alpha \left(\mathcal{X}_{\text{GB}} - \frac{1}{2} C_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma} + \frac{1}{8} F^4 - \frac{1}{2\sqrt{3}} \epsilon^{\mu\nu\rho\sigma\lambda} R_{\mu\nu\alpha\beta} R_{\rho\sigma}{}^{\alpha\beta} A_\lambda \right)$$

where $\mathcal{X}_{\text{GB}} = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2$ and $c_i = 1 + \alpha g^2 \delta c_i$, with:

$$\delta c_0 = 4\lambda_2, \quad \delta c_1 = -10\lambda_1 + 4\lambda_2, \quad \delta c_2 = 4\lambda_1 + 4\lambda_2, \quad \delta c_3 = -12\lambda_1 + 4\lambda_2$$

- **Remarks**

- ▶ Together with 4∂ corrections, there are 2∂ **corrections** controlled by $\lambda_i \alpha g^2$
- ▶ The effect of λ_2 simply reduces to a **renormalization of G**

Holographic dictionary

- The bulk theory is controlled by 2 dimensionless quantities

$$\frac{1}{G_{\text{eff}}g^3} \equiv \frac{1 + 4\lambda_2\alpha g^2}{Gg^3}, \quad \lambda_1\alpha g^2$$

- $\mathcal{N} = 1$ SCFTs have a **superconformal anomaly** controlled by 2 coefficients:

$$T_i{}^i = -\frac{\mathbf{a}}{16\pi^2}\hat{E} + \frac{\mathbf{c}}{16\pi^2}\hat{C}^2 - \frac{\mathbf{c}}{6\pi^2}\hat{F}^2,$$

$$\nabla_i J^i = \frac{\mathbf{c} - \mathbf{a}}{24\pi^2} \frac{1}{2} \epsilon^{ijkl} \hat{R}_{ijab} \hat{R}_{kl}{}^{ab} + \frac{5\mathbf{a} - 3\mathbf{c}}{27\pi^2} \frac{1}{2} \epsilon^{ijkl} \hat{F}_{ij} \hat{F}_{kl},$$

- The **holographic dictionary** tells us that

$\mathbf{a} = \frac{\pi}{8Gg^3} (1 + 4\lambda_2\alpha g^2)$	$\mathbf{c} = \frac{\pi}{8Gg^3} (1 + 4(2\lambda_1 + \lambda_2)\alpha g^2)$
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Witten

Henningson, Skenderis

Fukuma, Matsuura, Sakai; Cassani, AR, Turetta '23

Setting up the computation of the on-shell action at $\mathcal{O}(\alpha)$

A priori we need two ingredients to **evaluate the on-shell action** at $\mathcal{O}(\alpha)$:

- 1 The corrected solution
- 2 Boundary terms (Gibbons-Hawking-York terms + counterterms)

However, it is possible to show that **the two-derivative solution is enough** for evaluating the on-shell action

$$I = I^{(0)}|_{\alpha=0} + \alpha \cancel{\partial_{\alpha} I^{(0)}|_{\alpha=0}} + \alpha I^{(1)}|_{\alpha=0} + \mathcal{O}(\alpha^2)$$

if one fixes the boundary conditions appropriately, which is automatic if we work in the **grand-canonical ensemble**

Reall, Santos

We just have to identify the appropriate **boundary terms**

Boundary terms

Gibbons-Hawking-York terms

The Dirichlet variational problem is not well posed in higher-derivative gravity. Still, in our case:

- the GHY term associated to the Gauss-Bonnet is known Myers; Teitelboim, Zanelli
- Restricting to **AIAdS₅** solutions, it is possible to derive generalized GHY terms for $C_{\mu\nu\rho\sigma}F^{\mu\nu}F^{\rho\sigma}$ and mixed Chern-Simons term but **do not contribute**

Grumiller, Mann, McNees; Landsteiner, Megías, Pena-Benitez

Cassani, AR, Turetta '23

Boundary counterterms

- They can be rigorously derived using the **Hamilton-Jacobi approach** to holographic renormalization de Boer, Verlinde, Verlinde +...
- same as in the 2∂ theory (only the GB term diverges in the 4∂ sector)

Liu, Sabra; Fukuma, Matsuura, Sakai

Cassani, AR, Turetta '23

Matching the superconformal index

- Supersymmetric **on-shell action** of the black hole ($\omega_1 + \omega_2 - 2\varphi = 2\pi i$)

$$I = \frac{2\pi}{27Gg^3} (1 - 4(3\lambda_1 - \lambda_2)\alpha g^2) \frac{\varphi^3}{\omega_1\omega_2} + \frac{2\pi\alpha\lambda_1}{3Gg} \frac{\varphi(\omega_1^2 + \omega_2^2 - 4\pi^2)}{\omega_1\omega_2}$$

- This fully **matches the expression for the dual index** in the Cardy-like limit once the holographic dictionary is implemented

$$I = \frac{8(5a - 3c)}{27} \frac{\varphi^3}{\omega_1\omega_2} + \frac{2(c - a)}{3} \frac{\varphi(\omega_1^2 + \omega_2^2 - 4\pi^2)}{\omega_1\omega_2}$$
$$= -\log \mathcal{I}$$

Cassani, AR, Turetta '22

Bobev, Dimitrov, Reys, Vekemans '22

- But... what about the **black hole entropy**?

Corrections to the BPS entropy and charges

Cassani, AR, Turetta '22

Fastest method: assume 1st law + qsr and obtain the charges by taking derivatives of the on-shell action with respect to $\beta, \Omega_1, \Omega_2, \Phi$

- BPS entropy

$$\mathcal{S} = \pi \sqrt{3Q_R^2 - 8a(J_1 + J_2) - 16a(a - c) \frac{(J_1 - J_2)^2}{Q_R^2 - 2a(J_1 + J_2)}}$$

(also: Bobev, Dimitrov, Reys, Vekemans '22)

- Non-linear constraint among the charges

$$\begin{aligned} & [3Q_R + 4(2a - c)] [3Q_R^2 - 8c(J_1 + J_2)] \\ &= Q_R^3 + 16(3c - 2a)J_1J_2 + 64a(a - c) \frac{(Q_R + a)(J_1 - J_2)^2}{Q_R^2 - 2a(J_1 + J_2)} \end{aligned}$$

In perfect agreement with field theory predictions!

Charges and entropy from the near-horizon geometry

Goal: Direct method to obtain the charges and entropy

Obstacle: The corrected solution is needed but it is not known and very hard to obtain

How to circumvent this obstacle: (see also Pablo's talk)

- Restrict to the **near-horizon** solution, much easier to obtain
- Derive formulae for **Komar** and **Page charges**, which satisfy **Gauss law**:

$$d\mathbf{k}_{\xi,\chi} = 0 \quad \Rightarrow \quad Q_{\xi,\chi} = \int_{\mathcal{H}} \mathbf{k}_{\xi,\chi} = \int_{\infty} \mathbf{k}_{\xi,\chi}$$

- Use near-horizon solution to compute them

Corrected near-horizon solution

Focus on the $J_1 = J_2 (\equiv J)$ case, aka **Gutowski-Reall (GR) black hole**:

$$ds^2 = v_1 \left(-\varrho^2 dt^2 + \frac{d\varrho^2}{\varrho^2} \right) + \frac{v_2}{4} [\sigma_1^2 + \sigma_2^2 + v_3 (\sigma_3 + w \varrho dt)^2]$$

$$A = e \varrho dt + p (\sigma_3 + w \varrho dt)$$

where

$$v_i = v_i^{\text{GR}} + \alpha \delta v_i, \quad w = w^{\text{GR}} + \alpha \delta w, \quad e = e^{\text{GR}} + \alpha \delta e, \quad p = p^{\text{GR}} + \alpha \delta p$$

Solving the corrected EOMs boils down to a linear system of **algebraic eqs**

$$\mathcal{M}\mathcal{X} = \mathcal{N}, \quad \mathcal{X} = \mathcal{X}^H + \mathcal{X}^P$$

- \mathcal{X}^H is the **homogeneous solution**, fixed by **boundary conditions**
- \mathcal{X}^P is a **particular solution**, it contains the “**new physics**”

Formulae for charges and entropy

- Page electric charge:

$$Q = - \int_{\mathcal{H}} \left(\star \mathcal{F} - \frac{c_3}{\sqrt{3}} F \wedge A - \frac{2\lambda_1 \alpha}{\sqrt{3}} \Omega_{\text{CS}} \right)$$

- Angular momentum:

$$J = \int_{\mathcal{H}} \epsilon_{\mu\nu} \left[-2\nabla_{\sigma} \mathcal{P}^{\mu\nu\sigma\rho} \eta_{\rho} + \mathcal{P}^{\mu\nu\sigma\rho} \nabla_{\sigma} \eta_{\rho} + \frac{1}{2} \iota_{\eta} A \left(\mathcal{F}^{\mu\nu} + \frac{c_3}{3\sqrt{3}} \epsilon^{\mu\nu\rho\sigma\lambda} A_{\rho} F_{\sigma\lambda} \right) \right]$$

- Wald's entropy:

$$S = -2\pi \int_{\mathcal{H}} d^3x \sqrt{\gamma} \mathcal{P}^{\mu\nu\rho\sigma} n_{\mu\nu} n_{\rho\sigma}$$

Corrections to the BPS charges and entropy

- Corrected near-horizon solution & previous formulae \rightarrow corrected charges of supersymmetric black hole in AdS₅
- Perfect agreement with BPS charges and entropy found through the on-shell action (modulo a constant shift in the electric charge)
- Microcanonical form of the entropy relying entirely on the near-horizon geometry

$$\mathcal{S} = \pi \sqrt{3Q_R^2 - 16aJ}$$

Conclusions

- **Summary of results**

- ▶ We have constructed a **four-derivative extension** of 5d minimal gauged supergravity and used it to compute **corrections to the thermodynamics of AdS₅ black holes**
- ▶ Restricting to the BPS case: we have reproduced field theory predictions for subleading corrections to the **entropy, charges and on-shell action**

- **General lessons**

- ▶ There are a number of strategies that render the study of higher-derivative corrections much more tractable
- ▶ In the holographic context: they allow us to extract valuable information beyond the strict large N limit and to perform precision tests of holography

Thanks for your attention!