

# Background Material for the course

## "Solutions and Instabilities for linear problems"

— Consider the oscillator  $\mathcal{L} = \frac{m\dot{x}^2}{2} - \frac{kx^2}{2}$

→  $\frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{x}} \right) = \frac{\partial \mathcal{L}}{\partial x}$   $\leadsto$   $m\ddot{x} = -kx$  ← linear ODE

$$\ddot{x} + \omega^2 x = 0 \quad \omega^2 = \frac{k}{m}$$

$$x(t) = \text{Re} \left\{ A e^{i\omega t} \right\} \quad \leadsto \quad \ddot{x} = -\omega^2 x \quad \checkmark$$

Consider now a "field"  $\phi(x, t) = \phi(x, t)$

where ~~logarithm~~ is eq of motion is

$$\frac{\partial^2 \phi(x, t)}{\partial t^2} - \frac{\partial^2 \phi(x, t)}{\partial x^2} = 0 \quad \rightarrow \quad \begin{matrix} \omega^2 = k \\ c=1 \\ \eta_{\mu\nu} \begin{bmatrix} +1 & \\ & -1 \end{bmatrix} \end{matrix}$$

linear eq

Solutions  $\phi(x, t) = \text{Re} A e^{i(\omega t - kx)}$

$$\left. \begin{aligned} \frac{\partial^2 \phi}{\partial t^2} &= -\omega^2 \phi \\ \frac{\partial^2 \phi}{\partial x^2} &= -k^2 \phi \end{aligned} \right\} \quad (\omega^2 - k^2) \phi(x, t) = 0 \quad \leadsto \quad \omega^2 = k^2$$

If the field has a mass  $\left[ \frac{\partial^2 \phi}{\partial t^2} - \frac{\partial^2 \phi}{\partial x^2} = -m^2 \phi \right]$  linear eq

$$\phi(x, t) = \text{Re} A e^{i(\omega t - kx)} \quad \text{where } \omega^2 - k^2 = -m^2 \rightarrow \omega^2 = k^2 + m^2$$

the Lagrangian from where this is derived

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{m^2}{2} \phi^2$$

$$\eta^{\mu\nu} \partial_\mu \phi \partial_\nu \phi$$

$$\partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} = \partial_\mu (\eta^{\mu\nu} \partial_\nu \phi) = \frac{\partial \mathcal{L}}{\partial \phi} = -m^2 \phi$$

$$\eta^{\mu\nu} \partial_\mu \partial_\nu \phi = -m^2 \phi \rightarrow \partial_t^2 \phi - \partial_x^2 \phi = -m^2 \phi$$

OK?

Now come back to the oscillator

$$\mathcal{L} = m \frac{\dot{x}^2}{2} - \frac{kx^2}{2} - \frac{\lambda x^4}{4!} \rightarrow V = \frac{\lambda x^4}{4!} + \frac{kx^2}{2}$$

$$\frac{d}{dt} \frac{\partial \mathcal{L}}{\partial \dot{x}} = \frac{\partial \mathcal{L}}{\partial x} \rightarrow m \ddot{x} = -kx - \frac{\lambda x^3}{3!} \quad \text{non linear}$$

if  $\lambda \rightarrow 0$  perturbation theory in  $\lambda$

if  $\lambda \rightarrow 1$ ? non-perturbative problem

what can we do?

one can choose a problem (not a perturbation of the oscillator)

$$\mathcal{L} = m \frac{\dot{x}^2}{2} - V(x) \quad V(x) = \frac{\lambda}{4!} (x^2 - v^2)^2$$


and study things close to  $x = \pm v$

$$X = v + \epsilon z(t) \rightarrow \dot{X} = \epsilon \dot{z}$$

$$\left( \dot{X}^2 - v^2 \right)^2 = \left( 2\epsilon v \dot{z} + \epsilon^2 \dot{z}^2 \right)^2 = 4\epsilon^2 v^2 \dot{z}^2 + \mathcal{O}(\epsilon^3)$$

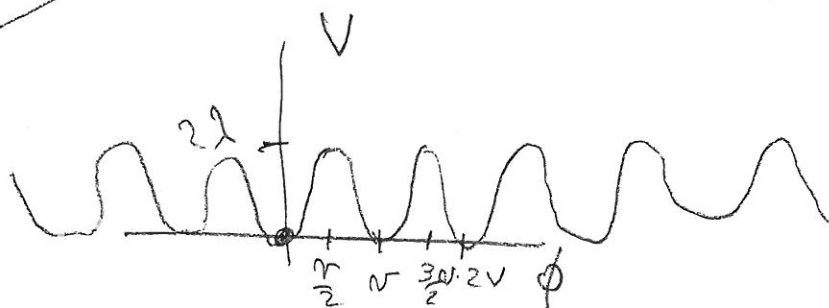
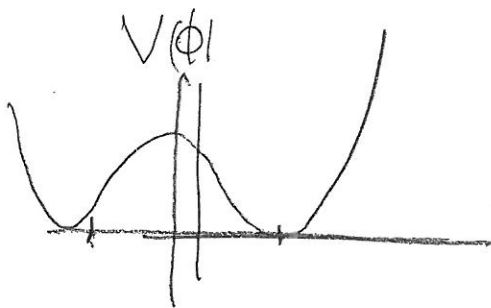
$$L = m \frac{\dot{z}^2}{2} - \frac{\lambda}{4} \epsilon^2 v^2 z^2 \quad \text{m. on oscillator close to } \underline{x = v}$$

Let us do the same for the field  $\phi(x, t)$

$$L = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \quad V(\phi) = \frac{\lambda}{4} (\phi^2 - v^2)^2$$

$$\left| \eta^{\mu\nu} \partial_\mu \partial_\nu \phi = - \frac{\partial V}{\partial \phi} \right| \rightarrow$$

$$V(\phi) = \lambda \left( 1 - \cos \frac{2\phi}{f} \right)$$



one may wonder if there is any solution that "tunnels"

Expansion  $\phi = v + \eta$

$$V(\phi) = \left( \frac{v^2 \lambda}{4} \right) \eta^2 + \left( \frac{\lambda v^3}{6} \right) \eta^3 + \left( \frac{\lambda}{46} \right) \eta^4 + \dots$$

$\frac{m^2}{\Lambda^2} = \frac{\lambda v^2}{4}$  ;  $\phi = \frac{\lambda v^3}{6}$

exp. in dist to  $\phi = 0$

$$\phi = \eta$$

$$V(\eta) = \left( \frac{2\pi^2 \lambda}{v^4} \right) \eta^2 + \left( \frac{2\pi^4 \lambda}{3\sqrt{3} v^4} \right) \eta^4$$

# Solitons

- Domain wall / kink
  - Vortices
  - monopoles
- } relation with SUSY

→ ideas that are precursors of all

non-perturbative techniques [dualities]

quite useful in any non-perturbative context

(technicolor, SUSY, condensed matter, effective theory)

— Informal → not rigorous in numerical factors.

— without topology

— No instantons in this meeting (great loss!)

— No supersymmetry → great loss!

books/papers to read :

Rajaraman "Solitons and Instantons" } books

Yung + Shifman "SUSY Solitons" }

Preskill "Vortices and Monopoles"

+ Mimura more.

David Tong

TASI Lectures 14/0509216

# Solitons and Instantons

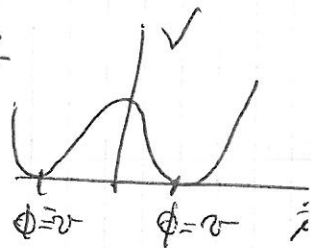
• Consider a very simple model

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - V(\phi)$$

where

$$V = \frac{\lambda}{4!} (\phi^2 - v^2)^2$$

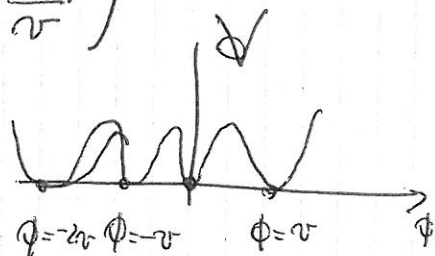
vacua in  $\phi = \pm v$



or

$$V = \lambda \left( 1 - \cos \frac{2\pi\phi}{v} \right)$$

vacua in  $\phi = n v$



or

Standard perturbation theory: we sit around a

vacuum say  $\phi = +v$  and expand

$$\phi = v + \eta$$

$\eta =$  small fluctuation

$$V \approx \left( \frac{\lambda v^2}{4} \right) \eta^2 + \left( \frac{\lambda v}{4} \right) \eta^3 + \dots = \frac{m^2}{2} \eta^2 + \frac{g}{3!} \eta^3 + \dots$$

$$\Rightarrow m^2 = \frac{\lambda v^2}{2}$$

$$g = \frac{3}{4} \lambda v$$

for the cosine potential

$$V = \lambda \left( 1 - \cos \frac{2\pi\phi}{v} \right)$$

we have around  $\phi = \eta$

$$V \approx \frac{\overset{m^2}{2\pi^2\lambda}}{v^2} \eta^2 - \frac{\overset{g}{32\pi^4\lambda}}{3v^4} \eta^4 = \frac{m^2}{2} \eta^2 - \frac{g}{4!} \eta^4$$

$$\boxed{\begin{aligned} m^2 &= \frac{4\pi^2\lambda}{v^2} \\ g &= \frac{32\pi^4\lambda}{3v^4} \end{aligned}}$$

Expanding around  $\phi=0$  for the first potential or  $\phi = v \cdot \frac{(2n+1)\pi}{4}$  generates tachyons.  $\leadsto$   $m^2 < 0$

Notice: the mass of these "particles"  $\eta$  and their interactions are small if  $\lambda$  is small (perturbative regime of the original model)  $\therefore$

Now, let us consider the equation of motion coming from  $\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)^2 - V(\phi)$ .

$$\partial_\mu (\partial^\mu \phi) = -\frac{\partial V}{\partial \phi} \quad \rightsquigarrow \quad \square \phi = -\frac{\partial V}{\partial \phi}$$

Convention here

~~xxxx~~

$$\square \phi \equiv +\partial_t^2 \phi - \partial_x^2 \phi$$

Assumptions

Suppose that we search for static solutions  $\phi(x, t) \rightsquigarrow \phi(x)$

The equation reads

$$\partial \phi: \partial_x^2 \phi - V'(\phi) \frac{\partial \phi}{\partial x} = 0$$

$$\frac{1}{2} \frac{\partial}{\partial x} (\partial_x \phi)^2 - V'(\phi) \frac{\partial \phi}{\partial x} = 0$$

$$\partial_x^2 \phi - \frac{\partial V}{\partial \phi} = 0$$

$$\frac{\partial}{\partial x} \left[ \frac{1}{2} (\partial_x \phi)^2 - V(\phi) \right] = 0$$

(OK?)

$$\rightsquigarrow \frac{1}{2} (\partial_x \phi)^2 - V(\phi) = \text{constant} = c$$

$$(\partial_x \phi)^2 = 2[c + V(\phi)] \rightsquigarrow \frac{d\phi}{dx} = \sqrt{2(c + V(\phi))}$$

$$\frac{d\phi}{\sqrt{2(c + V(\phi))}} = dx \rightsquigarrow \int \frac{d\phi}{\sqrt{2(c + V(\phi))}} = (x - x_0)$$

If we take  $C=0$

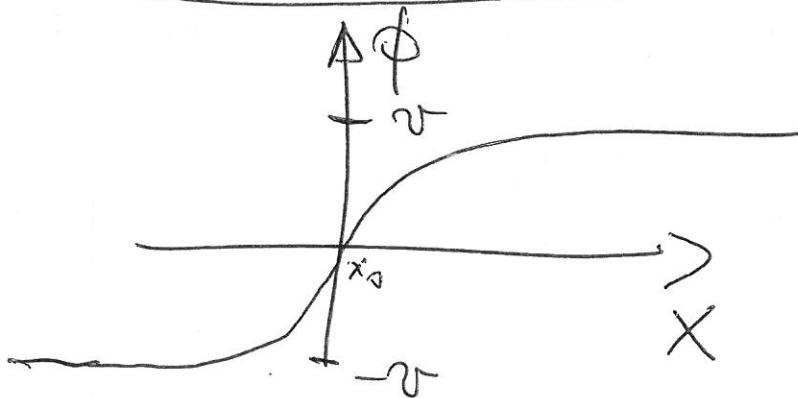
$$\int \frac{d\phi}{\sqrt{2V(\phi)}} \text{ gives}$$

$$V = -\frac{\lambda}{16} (\phi^2 - a^2)^2$$

$$(x - x_0) = \frac{2\sqrt{2}}{\sqrt{\lambda} a} \operatorname{arctanh}\left(\frac{\phi}{a}\right)$$

or

$$\phi = a \cdot \tanh\left(\frac{\sqrt{\lambda} a}{2\sqrt{2}} (x - x_0)\right)$$



Interpolates  
between the  
two vacua!

Notice the units  
 $d=2$

$$[\phi] = m^0$$

$$[v] = m^0$$

$$[\lambda] = m^2$$

$$(\partial_\mu \phi)^2 \sim m^2$$



the solution has a "characteristic <sup>light</sup> mass"

$$\Delta x = \sqrt{\frac{\lambda}{8} v^2}$$

~~this is the characteristic mass~~

this is the distance on which "it decays" the object is localized for  $\lambda \rightarrow \infty$

$$\Delta x = \sqrt{\frac{8}{\lambda} v^2}$$

notice that this object is VERY HEAVY

in perturbation theory. But it becomes

light when the theory becomes strongly coupled (at the same time the "perturbative excitations" become heavy)

for the case of the  $V = \lambda \left(1 - \cos \frac{2\pi\phi}{v}\right)$

$$x - x_0 = \int \frac{d\phi}{\sqrt{2V(\phi)}}$$

$$x - x_0 = \frac{v}{2\pi\sqrt{\lambda}} \log \left( \tan \left( \frac{\pi\phi}{2v} \right) \right)$$

$\sigma$

$$\phi = \frac{2\sigma}{\pi} \arctan \left[ e^{m(x-x_0)} \right]$$

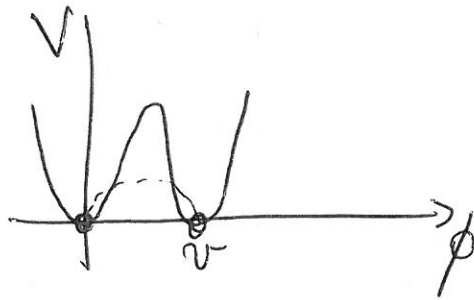
$$m = \frac{\sigma}{2\pi\sqrt{\lambda}}$$

So, when  $x \rightarrow +\infty$   $\phi \rightarrow \sigma$

$$\arctan(\infty) = \pi/2$$

$x \rightarrow -\infty$

$\phi \rightarrow 0$



again, notice that the mass is very high

in perturbation theory, for coming light as  $\lambda \rightarrow \infty$

Suppose that we calculate the Energy  
of these solutions.

$$H = p \dot{q} - \mathcal{L} \quad \text{classical Mechanics}$$

~~no can define in classical field theory~~

no can define in classical field theory

$T_{\mu\nu}$  such that  $T_{00}$  is the ~~total~~  
Energy = Hamiltonian

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - V(\phi).$$

$$T_{\mu\nu} = \frac{1}{2} (\partial_\mu \phi \partial_\nu \phi - \frac{1}{2} \eta_{\mu\nu} (\partial_\alpha \phi)^2) + \eta_{\mu\nu} V(\phi)$$

Auxiliary calculation of  $T_{\mu\nu}$

$$\mathcal{L} = \sqrt{g} \left[ \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right]$$

$$T_{\mu\nu} = + \frac{2}{\sqrt{g}} \frac{\delta \mathcal{L}}{\delta g^{\mu\nu}} \quad \left( \text{use } \frac{\partial \sqrt{g}}{\partial g^{\mu\nu}} = -\frac{\sqrt{g}}{2} g_{\mu\nu} \right)$$

$$T_{\mu\nu} = \frac{2}{\sqrt{g}} \frac{\delta \mathcal{L}}{\delta g^{\mu\nu}} = \frac{2}{\sqrt{g}} \left\{ -\frac{\sqrt{g}}{2} g_{\mu\nu} \left( \frac{1}{2} (\partial\phi)^2 - V(\phi) \right) + \frac{\sqrt{g}}{2} \partial_\mu \phi \partial_\nu \phi \right\}$$

$$\boxed{T_{\mu\nu} = \partial_\mu \phi \partial_\nu \phi - \frac{g_{\mu\nu}}{2} (\partial\phi)^2 + g_{\mu\nu} V(\phi)}$$

$$T_{00} = \partial_t \phi \partial_t \phi - \frac{g_{tt}}{2} [\partial_t \phi \partial_t \phi - \partial_x \phi \partial_x \phi] + g_{tt} V(\phi)$$

$$g_{tt} = +1$$

$$\boxed{T_{00} = \frac{\partial_t \phi \partial_t \phi}{2} + \frac{\partial_x \phi \partial_x \phi}{2} + V(\phi)}$$

for static configuration  $T_{00} = \frac{(\partial_x \phi)^2}{2} + V(\phi)$

Se calcula

$$T_{00} = (\partial_t \phi)^2 + V(\phi)$$

Sobre la solución

$$T_{00} = (\partial_t \phi)^2 + \lambda (\phi^2 - v^2)^2$$

$$T_{00} = \frac{\rho}{8} \frac{v^4 \lambda}{c^2 \hbar^4 \left( \times \sqrt{\frac{v^2 \lambda}{\hbar^2}} \right)}$$

$$E = \int_{-\infty}^{\infty} T_{00} = 3 \sqrt{2} v^3 \lambda^{\frac{1}{2}} \sim M$$

L eq.

$$\partial_x \phi = \sqrt{2V} = \sqrt{\frac{\lambda}{8}} (\phi^2 - \sigma^2) = \frac{\partial W}{\partial \phi}$$

$$\boxed{\partial_x \phi = \frac{\partial W}{\partial \phi}}$$

BPS eqs

$$E = \int_{-\infty}^{\infty} dx \sqrt{2V} \partial_x \phi = \int_{-\infty}^{\infty} \frac{\partial W}{\partial x} dx$$

$$= W(\infty) - W(-\infty)$$

$$W = \left( \frac{\phi^2}{3} - \sigma^2 \right) \phi \sqrt{\frac{\lambda}{8}} = \frac{\sigma^3 \sqrt{\lambda}}{6\sqrt{2}} \cdot \tanh \left( \sqrt{\frac{\lambda \sigma^2}{8}} x \right) \left( -3 + \tanh^2 \left( \sqrt{\frac{\lambda \sigma^2}{8}} x \right) \right)$$

$$\begin{array}{l} x \rightarrow \infty \\ x \rightarrow -\infty \end{array} \quad \begin{array}{l} W(\infty) \\ -W(-\infty) \end{array} = \frac{\sigma^3 \sqrt{\lambda}}{6\sqrt{2}} \left\{ \underbrace{(-3+1) + (-3+1)}_{-4} \right\}$$

$$\boxed{\Delta W = \frac{\sigma^3 \sqrt{\lambda}}{3\sqrt{2}}}$$

$$\boxed{E \geq \sqrt{\frac{2\lambda}{8}} \sigma^3}$$

La Solución

$$\phi = A \tanh \left( \sqrt{\frac{\lambda v^2}{8}} x \right)$$

Solución

$$\partial_x^2 \phi = \frac{\partial V}{\partial \phi} = \frac{\lambda}{4} (\phi^2 - v^2) \cdot \phi \quad \checkmark$$

Supongamos que existe una función  $W(\phi)$  tal que

$$V = \frac{\lambda}{16} (\phi^2 - v^2)^2 = \frac{1}{2} \left( \frac{\partial W}{\partial \phi} \right)^2$$

$$\rightarrow W(\phi) = \sqrt{\frac{\lambda}{8}} \left( \frac{\phi^3}{3} - v^2 \phi \right) = \sqrt{\frac{\lambda}{8}} \left( \frac{\phi^2}{3} - v^2 \right) \phi$$

Entonces la energía de esta solución

$$E = H = T_{00} = \frac{1}{2} \int_{-\infty}^{\infty} dx \left[ (\partial_x \phi)^2 + 2V(\phi) \right]$$

$$= \frac{1}{2} \int_{-\infty}^{\infty} dx \left[ (\partial_x \phi \pm \sqrt{2V})^2 \mp 2\sqrt{2V} \partial_x \phi \right]$$

Si restringimos la energía  $\underline{\partial_x \phi - \sqrt{2V} = 0} \quad \rightsquigarrow$

$$E = \frac{2}{2} \int dx \sqrt{2V} \partial_x \phi$$

The Energy

$$E = \int_{-\infty}^{\infty} dx \left[ \frac{(\partial_x \phi)^2}{2} + V(\phi) \right] = \text{[scribble]}$$

$$= \int_{-\infty}^{\infty} \frac{dx}{2} \left[ \left( (\partial_x \phi) \pm \sqrt{2V} \right)^2 \mp 2\sqrt{2V} \cdot (\partial_x \phi) \right]$$

Notice now

$$\text{if } \partial_x \phi - \sqrt{2V} = 0. \quad \text{or}$$

$$\sqrt{2V} \partial_x \phi = \sqrt{\frac{\lambda}{8}} (\phi^2 - v^2) \cdot \partial_x \phi = \partial_x \left[ \sqrt{\frac{\lambda}{8}} (\phi^2 - v^2) \right]$$

evaluate on solution

$$\sqrt{2V} \partial_x \phi = \frac{\sqrt{\lambda} v^4}{2\sqrt{8}} \frac{1}{\cosh\left(\frac{v\sqrt{\lambda}}{\sqrt{8}} x\right)}$$

$$M \sim \int_{-\infty}^{\infty} \sqrt{2V} \partial_x \phi \sim \frac{v^4 \int_{-\infty}^{\infty} \frac{1}{\cosh\left(\frac{v\sqrt{\lambda}}{\sqrt{8}} x\right)} dx}{2 + \frac{1}{\cosh\left(\frac{v\sqrt{\lambda}}{\sqrt{8}} x\right)}} \sim v^3$$