

## 6. Applications of the grand canonical ensemble

In this section we will apply the grand canonical ensemble to the study of quantum ideal gases for which the number of particles  $n_r$  in a given state  $|r\rangle$  fluctuates.

## I. Identical particles, symmetry requirements and statistical problems

Let us consider a gas of  $N$  identical particles within a container of volume  $V$ .

- each particle :  $\Psi_r(Q) \equiv$  One-particle wave function for a single particle (with coordinate  $Q$ ) in state  $|r\rangle$  orbital + spin
  - whole gas :

$$\Psi_{\{r_1, r_2, \dots, r_N\}}(Q_1, Q_2, \dots, Q_N) = \underbrace{\psi_{r_1}(Q_1) \cdots \psi_{r_N}(Q_N)}_{\text{Product of basis functions}}$$

Restricted to specific symmetry requirements when two identical particles are exchanged

\* Symmetry requirements : There are three possible situations

a) Distinguishable particles [Maxwell-Boltzmann]: This is the analogue of the "classical case"

- Any number of particles can be in the same one-particle state  $|r\rangle$
- No symmetry requirement when two particles are exchanged
- Quantum gases do not follow MB statistics

b) Indistinguishable particles of integer spin [Bose-Einstein]: This is an actual "quantum behaviour"

- Any number of particles can be in the same one-particle state  $|r\rangle$
- Exchanging two particles does not produce a new state of the whole gas

$$\Psi(\dots Q_j \dots Q_i \dots) = + \Psi(\dots Q_i \dots Q_j \dots)$$

- One must specify how many particles  $n_r = 0, 1, 2, \dots$  there are in each one-particle state  $|r\rangle$

c) Indistinguishable particles of half-integer spin [Fermi-Dirac]: This is an actual "quantum behaviour"

- Only one or zero particles can be in a one-particle state  $|r\rangle$   
 $\Rightarrow$  Pauli's exclusion principle

- Exchanging two particles does not produce a new state of the whole gas

$$\Psi(\dots Q_j \dots Q_i \dots) = - \Psi(\dots Q_i \dots Q_j \dots)$$

- One must specify how many particles  $n_r = 0, 1$  there are in each one-particle state  $|r\rangle$

Example : Gas of two particles A and B with  $r=1, 2, 3$  one-particle states  $|r\rangle$

a) MB statistics : In this case  $A \neq B$

$ 1\rangle$	$ 2\rangle$	$ 3\rangle$	$ R\rangle$
AB			1)
	AB		2)
		AB	3)
A	B		4)
B	A		5)
A		B	6)
B		A	7)
A	B		8)
B	A		9)

$$\langle \equiv \rangle \quad \Psi = \psi_r(Q_A) \cdot \psi_s(Q_B)$$

with  $r = 1, 2, 3$   
 $s = 1, 2, 3$

9 possible states  
for the whole gas

b) BE statistics : In this case  $A = B = \bullet$

$|1\rangle \quad |2\rangle \quad |3\rangle \quad |R\rangle$

$\dots$     1)  
 $\dots$     2)  
 $\dots$     3)  
 $\bullet$     4)  
 $\vdots$     5)  
 $\bullet$     6)

$\underbrace{\hspace{1cm}}$

$$\langle \equiv \rangle \Psi = \psi_r(Q_A) \cdot \psi_s(Q_B)$$
$$+ \psi_r(Q_B) \cdot \psi_s(Q_A)$$

with  $r = 1, 2, 3$   
 $s = 1, 2, 3$

6 possible states

for the whole gas

c) FD statistics : In this case  $A = B = \bullet$

$|1\rangle \quad |2\rangle \quad |3\rangle \quad |R\rangle$

$\bullet$     1)  
 $\bullet$     2)  
 $\bullet$     3)

$\underbrace{\hspace{1cm}}$

$$\langle \equiv \rangle \Psi = \psi_r(Q_A) \cdot \psi_s(Q_B)$$
$$- \psi_r(Q_B) \cdot \psi_s(Q_A)$$

3 possible states  
with  $r = 1, 2, 3$   
 $s = 1, 2, 3$

for the whole gas

It is interesting to look at the following quantity :

$$\beta = \frac{\text{Probability that two particles are found in the same state}}{\text{Probability that two particles are found in different states}}$$

$$\Rightarrow \underbrace{\beta_{\text{MB}} = \frac{3}{6} = \frac{1}{2}}_{\text{"Classical" behaviour}}, \underbrace{\beta_{\text{BE}} = \frac{3}{3} = 1}_{\text{Tendency for particles to bunch together w.r.t. MB}}, \underbrace{\beta_{\text{FD}} = \frac{0}{3} = 0}_{\text{Tendency for particles to remain apart w.r.t MB}}$$

"Classical"  
behaviour

Tendency for particles  
to bunch together  
w.r.t. MB

Tendency for particles  
to remain apart  
w.r.t MB

\* Statistical problem : We consider the gas at a temperature  $\beta = \frac{1}{kT}$ . The total energy of a state  $|R\rangle$  of the whole gas is

$$E_R = \underbrace{n_1 E_1 + n_2 E_2 + \dots}_{\text{energy of whole-gas state } |R\rangle} = \sum_r \underbrace{n_r E_r}_{\text{number of particles in state } |r\rangle} \quad \text{energy of one-particle state } |r\rangle$$

with

$$\sum_r n_r = N \quad [\text{total number fixed}]$$

The partition function is then given by

$$Z = \sum_R e^{-\beta E_R} = \sum_R \underbrace{e^{-\beta(n_1 E_1 + n_2 E_2 + \dots)}}_{\text{Sum over all the permitted values of the occupation numbers } (n_1, n_2, \dots)}$$

Sum over all the permitted values  
of the occupation numbers  $(n_1, n_2, \dots)$

$\Rightarrow (n_1, n_2, \dots)$  changes in different microstates  $|R\rangle$  of the whole gas and therefore

$$\begin{aligned} \bar{n}_r &= \frac{\sum_r n_r e^{-\beta(n_1\epsilon_1 + n_2\epsilon_2 + \dots)}}{\sum_r e^{-\beta(n_1\epsilon_1 + n_2\epsilon_2 + \dots)}} = \frac{1}{Z} \sum_r \left(-\frac{1}{\beta} \frac{\partial Z}{\partial \epsilon_r}\right) e^{-\beta(n_1\epsilon_1 + n_2\epsilon_2 + \dots)} \\ &= -\frac{1}{\beta Z} \frac{\partial Z}{\partial \epsilon_r} = -\frac{1}{\beta} \frac{\partial \ln Z}{\partial \epsilon_r} \end{aligned}$$

The permitted values of  $(n_1, n_2, \dots)$  depend on the particular statistics :

a) MB statistics :  $n_r = 0, 1, 2, 3, \dots$  with  $\sum_r n_r = N$

⊕ possible permutations of particles  
in different states  $|r\rangle$  [distinguishable]  
 $|r\rangle_{AB} = |r\rangle_{BA}$

b) BE statistics :  $n_r = 0, 1, 2, 3, \dots$  with  $\underbrace{\sum_r n_r = N}_{}$

This condition can be relaxed if particles can be emitted-absorbed  
 $\Rightarrow$  plateau statistics

c) FD statistics :  $n_r = 0 \text{ or } 1$  with  $\sum_r n_r = N$

The goal will then be to compute

$$\bar{n}_r = -\frac{1}{\beta} \frac{\partial \ln Z}{\partial \epsilon_r}$$

from the partition function  $Z = \sum_R e^{-\beta(n_1 \epsilon_1 + n_2 \epsilon_2 + \dots)}$  and  
also the dispersion  $\rightarrow$  Sum over permitted  $(n_1, n_2, \dots)$

$$\overline{(\Delta n_r)^2} = \overline{n_r^2} - \overline{n_r}^2 = \frac{1}{\beta^2} \frac{\partial^2 \ln Z}{\partial \epsilon_r^2} = -\frac{1}{\beta} \frac{\partial \bar{n}_r}{\partial \epsilon_r}$$

NOTE:  $\overline{n_r^2} = \frac{1}{Z} \sum_R \left( -\frac{1}{\beta} \frac{\partial}{\partial \epsilon_r} \right) \left( -\frac{1}{\beta} \frac{\partial}{\partial \epsilon_r} \right) e^{-\beta(n_1 \epsilon_1 + n_2 \epsilon_2 + \dots)}$

$$= \frac{1}{Z} \frac{1}{\beta^2} \frac{\partial^2 Z}{\partial \epsilon_r^2} = \frac{1}{\beta^2} \left[ \frac{\partial}{\partial \epsilon_r} \left( \frac{1}{Z} \frac{\partial Z}{\partial \epsilon_r} \right) + \frac{1}{Z^2} \left( \frac{\partial Z}{\partial \epsilon_r} \right)^2 \right]$$

$$= \frac{1}{\beta^2} \left[ \frac{\partial}{\partial \epsilon_r} \left( \frac{\partial \ln Z}{\partial \epsilon_r} \right) + \beta^2 \overline{n_r^2} \right] = \frac{1}{\beta^2} \frac{\partial^2 \ln Z}{\partial \epsilon_r^2} + \overline{n_r^2}$$

## II. Maxwell - Boltzmann statistics

$\overbrace{N}$  balls in boxes  $| r \rangle^3$   
For a given sequence  $(n_1, n_2, \dots)$  there are  $\frac{N!}{n_1! n_2! \dots}$  possible ways of distributing  $N$  distinguishable particles. Therefore

$$Z = \sum_R e^{-\beta(n_1 \epsilon_1 + n_2 \epsilon_2 + \dots)} = \sum_{n_1, n_2, \dots} \underbrace{\frac{N!}{n_1! n_2! \dots}}_{\text{multiplicity}} e^{-\beta(n_1 \epsilon_1 + n_2 \epsilon_2 + \dots)}$$

$\Downarrow$

$$n_r = 0, 1, 2, 3, \dots$$

$$= \sum_{n_1, n_2, \dots} \frac{n!}{n_1! n_2! \dots} (e^{-\beta \epsilon_1})^{n_1} (e^{-\beta \epsilon_2})^{n_2} \dots$$

$$\downarrow = \left[ e^{-\beta \epsilon_1} + e^{-\beta \epsilon_2} + \dots \right]^N = \underbrace{\left[ \sum_r e^{-\beta \epsilon_r} \right]^N}_{\mathcal{Z}_{1\text{-particle canonical}}}$$

$\sum_r n_r = N$

Multinomial theorem (restriction on  $n_r$ )

$$\Rightarrow \ln \mathcal{Z} = N \ln \left[ \sum_s e^{-\beta \epsilon_s} \right]$$

$$\Rightarrow \overline{n_r} = -\frac{1}{\beta} \frac{\partial \ln \mathcal{Z}}{\partial \epsilon_r} = N \frac{e^{-\beta \epsilon_r}}{\sum_s e^{-\beta \epsilon_s}} \equiv \text{"Maxwell-Boltzmann distribution"}$$

\* Dispersion : The computation of  $\overline{(\Delta n_r)^2}$  gives

$$\overline{(\Delta n_r)^2} = -\frac{1}{\beta} \frac{\partial \overline{n_r}}{\partial \epsilon_r} = -\frac{1}{\beta} N \left[ -\underbrace{\beta \frac{e^{-\beta \epsilon_r}}{\sum_s e^{-\beta \epsilon_s}}}_{\frac{1}{N} \overline{n_r}} + \underbrace{\beta \frac{e^{-\beta \epsilon_r} e^{-\beta \epsilon_r}}{(\sum_s e^{-\beta \epsilon_s})^2}}_{\frac{1}{N^2} \overline{n_r}^2} \right]$$

$$= \overline{n_r} - \frac{1}{N} \overline{n_r}^2 = \overline{n_r} \left( 1 - \frac{\overline{n_r}}{N} \right) \approx \overline{n_r}$$

$N \gg \overline{n_r}$



This is true except if  $T \rightarrow 0$   
and  $\overline{n}_0 \approx N$

$$\Rightarrow \frac{\overline{(\Delta n_r)^2}}{\overline{n_r}^2} \approx \frac{1}{N} \quad [\text{relative dispersion arbitrary small if } \overline{n_r} \gg 1]$$

### III. Photon statistics

This time particles are indistinguishable and the total number is indetermined. This implies that

$$n_r = 0, 1, 2, \dots \quad \text{without any restriction on } \sum_r n_r$$

and therefore

$$\Xi = \sum_R e^{-\beta(n_1\epsilon_1 + n_2\epsilon_2 + \dots)} = \sum_{n_1, n_2, \dots} e^{-\beta n_1 \epsilon_1} \cdot e^{-\beta n_2 \epsilon_2} \cdots$$

$$(n_r \text{ unrestricted}) = \left( \underbrace{\sum_{n_1=0}^{\infty} e^{-\beta n_1 \epsilon_1}} \right) \cdot \left( \sum_{n_2=0}^{\infty} e^{-\beta n_2 \epsilon_2} \right) \cdots$$

geometric series :  $1 + e^{-\beta\epsilon} + e^{-2\beta\epsilon} + \dots = \underbrace{\frac{1}{1 - e^{-\beta\epsilon}}}_{\text{Each } |r\rangle \text{ is}}$

$$= \frac{1}{1 - e^{-\beta\epsilon_1}} \cdot \frac{1}{1 - e^{-\beta\epsilon_2}} \cdots = \sum_r \frac{1}{1 - e^{-\beta\epsilon_r}}$$

an harmonic oscillator !!

$$\Rightarrow \ln \Xi = - \sum_r \ln(1 - e^{-\beta\epsilon_r})$$

$$\Rightarrow \bar{n}_r = -\frac{1}{\beta} \frac{\partial \ln \Xi}{\partial \epsilon_r} = \frac{1}{\beta} \frac{1}{1 - e^{-\beta\epsilon_r}} (-e^{-\beta\epsilon_r}) (-\beta)$$

$$= \frac{e^{-\beta\epsilon_r}}{1 - e^{-\beta\epsilon_r}} = \frac{1}{e^{\beta\epsilon_r} - 1} \equiv \text{"Planck distribution"}$$

\* Dispersion : The computation of  $\overline{(\Delta n_r)^2}$  gives

$$\begin{aligned}\overline{(\Delta n_r)^2} &= -\frac{1}{\beta} \frac{\partial \bar{n}_r}{\partial \epsilon_r} = +\frac{1}{\beta} \frac{1}{(e^{\beta \epsilon_r} - 1)^2} e^{\beta \epsilon_r} \beta = \frac{e^{\beta \epsilon_r}}{(e^{\beta \epsilon_r} - 1)^2} \\ &= \frac{e^{\beta \epsilon_r} - 1 + 1}{(e^{\beta \epsilon_r} - 1)^2} = \underbrace{\frac{1}{e^{\beta \epsilon_r} - 1}}_{\bar{n}_r} + \underbrace{\frac{1}{(e^{\beta \epsilon_r} - 1)^2}}_{\bar{n}_r^2} \\ &= \bar{n}_r (1 + \bar{n}_r)\end{aligned}$$

$$\Rightarrow \frac{\overline{(\Delta n_r)^2}}{\bar{n}_r^2} = \frac{1}{\bar{n}_r} + 1 \quad [\text{relative dispersion not arbitrarily small even if } \bar{n}_r \gg 1]$$

Note:  $\overline{(\Delta n_r)^2}_{\text{Photon}} = \bar{n}_r (1 + \bar{n}_r) > \bar{n}_r (1 - \frac{\bar{n}_r}{N}) = \overline{(\Delta n_r)^2}_{\text{MB}}$

#### IV. Bose - Einstein statistics

In order to describe this quantum gas we will make use of the grand canonical ensemble.

Idea : For each one-particle state  $|r\rangle$  we have a realisation of the grand canonical ensemble in the whole gas.

$$|r\rangle : \sum_{n_r=0}^{\infty} e^{-\beta E_r} \underbrace{e^{-\alpha n_r}}_{\substack{\text{each } |r\rangle \text{ has its } \alpha \\ \hookrightarrow n_r \text{ unrestricted but } \alpha[\epsilon_r] \equiv \text{Lagrange multiplier}}} = \sum_{n_r=0}^{\infty} e^{-(\alpha + \beta \epsilon_r) n_r}$$

The full partition function  $Z$  is then given by

$$Z = \sum_{n_1, n_2, \dots} e^{-\beta(n_1\epsilon_1 + n_2\epsilon_2 + \dots)} \cdot e^{-\alpha \underbrace{(n_1 + n_2 + \dots)}_N} = e^{-\alpha N} \underbrace{Z}_{\text{canonical}} \quad (\alpha\text{-independent})$$

$$= \sum_{n_1, n_2, \dots} e^{-(\alpha + \beta\epsilon_1)n_1 - (\alpha + \beta\epsilon_2)n_2 - \dots}$$

$$= \underbrace{\sum_{n_1=0}^{\infty} e^{-(\alpha + \beta\epsilon_1)n_1}}_{\text{geometric series}} \cdot \underbrace{\sum_{n_2=0}^{\infty} e^{-(\alpha + \beta\epsilon_2)n_2}}_{\dots} \dots$$

[see NOTES]

$$= \left( \frac{1}{1 - e^{-(\alpha + \beta\epsilon_1)}} \right) \left( \frac{1}{1 - e^{-(\alpha + \beta\epsilon_2)}} \right) = \prod_r \frac{1}{1 - e^{-(\alpha + \beta\epsilon_r)}}$$

$$\Rightarrow \ln Z = - \sum_r \ln \left[ 1 - e^{-(\alpha + \beta\epsilon_r)} \right]$$

NOTE 1: Summing over all energies  $E_r = n_r \epsilon_r$  requires to sum over all numbers of particles  $n_r$

NOTE 2: In the same way as  $\beta$  brings us back to the right energy of the system,  $\alpha$  brings us back to the right number of particles  $\approx$  "Lagrange multipliers"

NOTE 3:  $Z = e^{-\alpha N} \underbrace{Z}_{\epsilon_r} \Rightarrow \underbrace{\ln Z}_{\epsilon_r} = \underbrace{\ln \underbrace{Z}_{(\epsilon_r, \alpha[\epsilon_r])}}_{\alpha[\epsilon_r]} + \underbrace{\alpha N}_{\alpha[\epsilon_r]}$

Moreover one has that

$$\frac{\partial}{\partial \alpha} (\ln Z + \alpha N) = \underbrace{\frac{\partial \ln Z}{\partial \alpha}}_{=0} = 0$$

$$\begin{aligned}\bar{n}_r &= -\frac{1}{\beta} \frac{\partial \ln Z}{\partial \epsilon_r} = -\frac{1}{\beta} \frac{\partial \ln Z}{\partial \epsilon_r} - \frac{1}{\beta} \left( \frac{\partial \ln Z}{\partial \alpha} + N \right) \frac{\partial \alpha}{\partial \epsilon_r} \\ &= \frac{e^{-(\alpha + \beta \epsilon_r)}}{1 - e^{-(\alpha + \beta \epsilon_r)}} = \frac{1}{e^{\alpha + \beta \epsilon_r} - 1} \equiv \text{"Bose-Einstein distribution"}\end{aligned}$$

Since the partition function  $Z$  of the whole gas has factorised one has

$$\underbrace{\sum_r n_r}_{\sum_r \bar{n}_r} = N = -\frac{\partial \ln Z}{\partial \alpha} = \sum_r \frac{e^{-(\alpha + \beta \epsilon_r)}}{1 - e^{-(\alpha + \beta \epsilon_r)}} = \sum_r \frac{1}{e^{\alpha + \beta \epsilon_r} - 1}$$

$$\Rightarrow \sum_r \left( \bar{n}_r - \frac{1}{e^{\alpha + \beta \epsilon_r} - 1} \right) = 0 \quad (i)$$

- Determination of  $\alpha(\epsilon_r)$ : The quantity  $\alpha(\epsilon_r)$  is fixed by the condition (i)

$$(ii) \quad \sum_r \bar{n}_r = N = \sum_r \frac{1}{e^{\alpha + \beta \epsilon_r} - 1} \Rightarrow \begin{array}{l} \text{Conservation of particles} \\ [\text{unlike photon gas}] \end{array}$$

NOTE: Photon gas is recovered if  $\alpha = 0$  [no particle conservation]

\* Dispersion : The computation of  $\overline{(\Delta n_r)^2}$  gives

$$\overline{(\Delta n_r)^2} = -\frac{1}{\beta} \frac{\partial \bar{n}_r}{\partial \epsilon_r} = -\frac{1}{\beta} \frac{\partial}{\partial \epsilon_r} \left( \frac{1}{e^{\alpha+\beta\epsilon_r}-1} \right)$$

$$= -\frac{1}{\beta} \left[ -\underbrace{\frac{e^{\alpha+\beta\epsilon_r}}{(e^{\alpha+\beta\epsilon_r}-1)^2} \left( \beta + \frac{\partial \alpha}{\partial \epsilon_r} \right)}_{\text{underbrace}} \right]$$

$$\frac{e^{\alpha+\beta\epsilon_r}-1+1}{(e^{\alpha+\beta\epsilon_r}-1)^2} = \underbrace{\frac{1}{e^{\alpha+\beta\epsilon_r}-1}}_{\bar{n}_r} + \underbrace{\frac{1}{(e^{\alpha+\beta\epsilon_r}-1)^2}}_{\bar{n}_r^2}$$

$$= \bar{n}_r (1 + \bar{n}_r)$$

$$= \bar{n}_r (1 + \bar{n}_r) \underbrace{\left[ 1 + \frac{1}{\beta} \frac{\partial \alpha}{\partial \epsilon_r} \right]}_{\text{correction to photon statistics } [\alpha=0]}$$

$$\Rightarrow \frac{\overline{(\Delta n_r)^2}}{\bar{n}_r^2} = \left( \frac{1}{\bar{n}_r} + 1 \right) \left[ 1 + \frac{1}{\beta} \frac{\partial \alpha}{\partial \epsilon_r} \right]$$

- Correction to photon statistics : Let us start from the conservation condition (ii) and take a derivative with respect to  $\epsilon_r$

$$\frac{\partial N}{\partial \epsilon_r} = 0 = - \underbrace{\frac{e^{\alpha + \beta \epsilon_r}}{(e^{\alpha + \beta \epsilon_r} - 1)^2} \beta}_{\bar{n}_r (1 + \bar{n}_r)} - \sum_s \underbrace{\frac{e^{\alpha + \beta \epsilon_s}}{(e^{\alpha + \beta \epsilon_s} - 1)^2} \left( \frac{\partial \alpha}{\partial \epsilon_r} \right)}_{\bar{n}_s (1 + \bar{n}_s)}$$

$$= -\beta \bar{n}_r (1 + \bar{n}_r) - \sum_s \bar{n}_s (1 + \bar{n}_s) \left( \frac{\partial \alpha}{\partial \epsilon_r} \right)$$

$$\approx > \frac{\partial \alpha}{\partial \epsilon_r} = -\beta \frac{\bar{n}_r (1 + \bar{n}_r)}{\sum_s \bar{n}_s (1 + \bar{n}_s)}$$

$$\Rightarrow \overline{\frac{(\Delta n_r)^2}{n_r^2}} = \left( \frac{1}{\bar{n}_r} + 1 \right) \left[ 1 - \underbrace{\frac{\bar{n}_r (1 + \bar{n}_r)}{\sum_s \bar{n}_s (1 + \bar{n}_s)}}_{\text{Correction with respect to photon statistics}} \right]$$

Important : The correction factor becomes relevant at  $T \rightarrow 0$  where  $\bar{n}_0 \approx N$  and  $\bar{n}_r \approx 0$  ( $r \neq 0$ ) so that

$$\overline{(\Delta n_0)^2} \approx N(1+N) [1 - 1] = 0$$

$\Rightarrow$  Fluctuation in the number of particles in the ground state goes to zero

## v. Fermi - Dirac statistics

This case works similarly as for the BE quantum gas except for the restriction (Pauli's principle) that

$$n_r = 0 \text{ or } 1 \quad \text{for each } l_r >$$

The full partition function  $Z$  is then given by

$$\begin{aligned} Z &= \sum_{n_1, n_2, \dots} e^{-\beta(n_1\epsilon_1 + n_2\epsilon_2 + \dots)} \cdot e^{-\alpha \underbrace{(n_1 + n_2 + \dots)}_N} = e^{-\alpha N} \underbrace{Z}_{\substack{\text{canonical} \\ (\alpha\text{-independent})}} \\ &= \sum_{n_1, n_2, \dots} e^{-(\alpha + \beta\epsilon_1)n_1 - (\alpha + \beta\epsilon_2)n_2 - \dots} \\ &= \underbrace{\sum_{n_1=0}^{\infty} e^{-(\alpha + \beta\epsilon_1)n_1}}_{\text{finite sum}} \cdot \underbrace{\sum_{n_2=0}^{\infty} e^{-(\alpha + \beta\epsilon_2)n_2}}_{\text{finite sum}} \dots \\ &= \left[ 1 + e^{-(\alpha + \beta\epsilon_1)} \right] \left[ 1 + e^{-(\alpha + \beta\epsilon_2)} \right] \dots \\ \Rightarrow \ln Z &= \sum_r \ln \left[ 1 + e^{-(\alpha + \beta\epsilon_r)} \right] \end{aligned}$$

Moreover one has that

$$\frac{\partial}{\partial \alpha} (\ln Z + \alpha N) = \frac{\partial \ln Z}{\partial \alpha} = 0$$

$$\begin{aligned}\bar{n}_r &= -\frac{1}{\beta} \frac{\partial \ln Z}{\partial \epsilon_r} = -\frac{1}{\beta} \frac{\partial \ln Z}{\partial \epsilon_r} - \frac{1}{\beta} \underbrace{\left( \frac{\partial \ln Z}{\partial \alpha} + N \right)}_{\text{Fermi-Dirac}} \frac{\partial \alpha}{\partial \epsilon_r} \\ &= \frac{e^{-(\alpha + \beta \epsilon_r)}}{1 + e^{-(\alpha + \beta \epsilon_r)}} = \frac{1}{e^{\alpha + \beta \epsilon_r} + 1} \equiv \text{"Fermi-Dirac distribution"}$$

Since the partition function  $Z$  has factorised one again has

$$\underbrace{\sum_r n_r}_{\sum_r \bar{n}_r = N} = N = -\frac{\partial \ln Z}{\partial \alpha} = \sum_r \frac{e^{-(\alpha + \beta \epsilon_r)}}{1 + e^{-(\alpha + \beta \epsilon_r)}} = \sum_r \frac{1}{e^{\alpha + \beta \epsilon_r} + 1}$$

$$\Rightarrow \sum_r \left( \bar{n}_r - \frac{1}{e^{\alpha + \beta \epsilon_r} + 1} \right) = 0 \quad (\text{i})$$

- Determination of  $\alpha(\epsilon_r)$ : The quantity  $\alpha(\epsilon_r)$  is fixed by the condition (i)

$$(\text{ii}) \quad \sum_r \bar{n}_r = N = \sum_r \frac{1}{e^{\alpha + \beta \epsilon_r} + 1} \Rightarrow \text{Conservation of particles}$$

Remark: Note that everything is as for BE except for a “-” sign that becomes a “+”

\* Dispersion : The computation of  $\overline{(\Delta n_r)^2}$  gives

$$\overline{(\Delta n_r)^2} = -\frac{1}{\beta} \frac{\partial \bar{n}_r}{\partial \epsilon_r} = -\frac{1}{\beta} \frac{\partial}{\partial \epsilon_r} \left( \frac{1}{e^{\alpha+\beta\epsilon_r}+1} \right)$$

$$= -\frac{1}{\beta} \left[ -\underbrace{\frac{e^{\alpha+\beta\epsilon_r}}{(e^{\alpha+\beta\epsilon_r}+1)^2}}_{\text{underbrace}} \left( \beta + \frac{\partial \alpha}{\partial \epsilon_r} \right) \right]$$

$$\begin{aligned} \frac{e^{\alpha+\beta\epsilon_r}+1-1}{(e^{\alpha+\beta\epsilon_r}+1)^2} &= \underbrace{\frac{1}{e^{\alpha+\beta\epsilon_r}+1}}_{\bar{n}_r} - \underbrace{\frac{1}{(e^{\alpha+\beta\epsilon_r}+1)^2}}_{\bar{n}_r^2} \\ &= \bar{n}_r (1 - \bar{n}_r) \end{aligned}$$

$$= \bar{n}_r (1 - \bar{n}_r) \left[ 1 + \frac{1}{\beta} \frac{\partial \alpha}{\partial \epsilon_r} \right]$$

$$\Rightarrow \frac{\overline{(\Delta n_r)^2}}{\bar{n}_r^2} = \left( \frac{1}{\bar{n}_r} - 1 \right) \left[ 1 + \underbrace{\frac{1}{\beta} \frac{\partial \alpha}{\partial \epsilon_r}}_{\text{underbrace}} \right]$$

Correction due to  $\alpha \neq 0$

- Correction due to  $\alpha \neq 0$  : Let us start from the conservation condition (ii) and take a derivative with respect to  $\epsilon_r$

$$\frac{\partial N}{\partial \epsilon_r} = 0 = - \underbrace{\frac{e^{\alpha + \beta \epsilon_r}}{(e^{\alpha + \beta \epsilon_r} + 1)^2} \beta}_{\bar{n}_r (1 - \bar{n}_r)} - \sum_s \underbrace{\frac{e^{\alpha + \beta \epsilon_s}}{(e^{\alpha + \beta \epsilon_s} + 1)^2} \left( \frac{\partial \alpha}{\partial \epsilon_r} \right)}_{\bar{n}_s (1 - \bar{n}_s)}$$

$$= -\beta \bar{n}_r (1 - \bar{n}_r) - \sum_s \bar{n}_s (1 - \bar{n}_s) \left( \frac{\partial \alpha}{\partial \epsilon_r} \right)$$

$$\approx > \frac{\partial \alpha}{\partial \epsilon_r} = -\beta \frac{\bar{n}_r (1 - \bar{n}_r)}{\sum_s \bar{n}_s (1 - \bar{n}_s)}$$

$$\Rightarrow \frac{(\Delta n_r)^2}{\bar{n}_r^2} = \left( \frac{1}{\bar{n}_r} - 1 \right) \left[ 1 - \frac{\bar{n}_r (1 - \bar{n}_r)}{\sum_s \bar{n}_s (1 - \bar{n}_s)} \right]$$

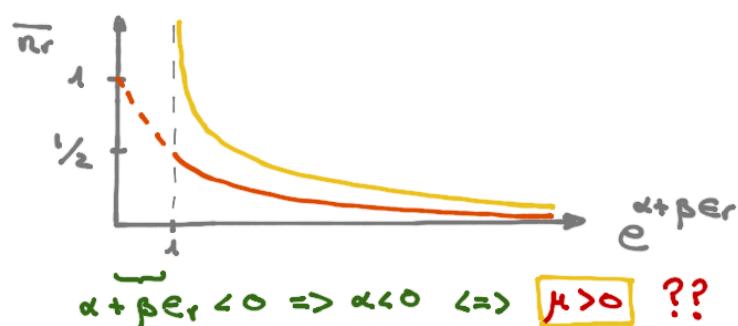
Important: For a state  $|r\rangle$  with  $\bar{n}_r = 1$  (occupied)  
then the dispersion vanishes

## vi. Regimes of quantum BE and FD gases

Let us start from de BE and FD statistics

$$\bar{n}_r = \frac{1}{e^{\alpha + \beta \epsilon_r} \pm 1} = \frac{1}{e^{\beta(\epsilon_r - \mu)} \pm 1}$$

Note:  $\mu = -\frac{\alpha}{\beta}$  and  $\underline{z} = e^{-\alpha} = e^{\beta \mu}$   
fugacity



NOTE: Chemical potential  $\mu \approx 0$  for a classic gas [see exercises]

subject to the conservation of particle condition

$$\sum_r \bar{n}_r = \sum_r \frac{1}{e^{\alpha + \beta E_r} \pm 1} = \sum_r \frac{1}{e^{\beta E_r} \frac{1}{z} \pm 1} = N$$

and with associated partition functions

$$\ln Z = \alpha N \pm \sum_r \ln \left[ 1 \pm \underbrace{e^{-(\alpha + \beta E_r)}}_{z e^{-\beta E_r}} \right]$$

\* Classical regime: We discussed before that the classical limit is recovered at high temperature ( $\beta \rightarrow 0$ ) and low density ( $N$  small)  $\Rightarrow \bar{L} \gg \bar{\lambda}$  [no wave function superposition]

i) Low density :  $N$  small  $\Rightarrow \bar{n}_r \ll 1 \Rightarrow e^{\alpha + \beta E_r} \gg 1$

ii) High temperature :  $\beta \rightarrow 0 \Rightarrow \alpha$  large  $\Rightarrow \bar{n}_r \ll 1$  for not to exceed  $N \Rightarrow e^{\alpha + \beta E_r} \gg 1$

Therefore

$$\underbrace{\frac{1}{z} e^{\beta E_r}}$$

Classical limit  $\Leftrightarrow e^{\alpha + \beta E_r} \gg 1 \Leftrightarrow \bar{n}_r \ll 1$

NOTE:  $\frac{1}{z} e^{\beta E_r} \gg 1$  seems to require  $\begin{cases} z \ll 1 : \text{Low fugacity } \checkmark \\ \beta \gg 1 : \text{Low T [contradiction?]} \end{cases}$

↳ see quantum

In this limit both BE and FD reduce to

regime !!  
 $z = z(\beta)$

$$\bar{n}_r = e^{-\alpha - \beta E_r} \quad [\text{classical limit}]$$

and the conservation of particles requires

$$\sum_r \bar{n}_r = N = \sum_r e^{-(\alpha + \beta \epsilon_r)} = e^{-\alpha} \sum_r e^{-\beta \epsilon_r}$$

so that

$$e^{-\alpha} = \frac{N}{\sum_r e^{-\beta \epsilon_r}} \Rightarrow \bar{n}_r = N \frac{e^{-\beta \epsilon_r}}{\sum_s e^{-\beta \epsilon_s}} = \text{"MB distribution"}$$

At the level of partition functions we have that

$$\ln Z = \alpha N \pm \sum_r \ln \left[ 1 \pm e^{-(\alpha + \beta \epsilon_r)} \right]$$

NOTE:  $\ln(1 \pm x) \approx \pm x - \frac{x^2}{2} \pm \frac{x^3}{3} - \dots$   
 $|x| < 1$

$$= \alpha N + \sum_r \underbrace{e^{-(\alpha + \beta \epsilon_r)}}_{\bar{n}_r} = \alpha N + N$$

NOTE:  $e^{-\alpha} = \frac{N}{\sum_r e^{-\beta \epsilon_r}} \Rightarrow \alpha = -\ln N + \ln \left[ \sum_r e^{-\beta \epsilon_r} \right]$

$$= -N \ln N + N + N \underbrace{\ln \left[ \sum_r e^{-\beta \epsilon_r} \right]}_{\ln Z_{MB}}$$

$$= \ln Z_{\text{MB}} - \underbrace{(N \ln N - N)}_{\text{mismatch !!}} = \ln Z_{\text{MB}} - \ln N!$$

↳ Stirling's formula

$$= \ln \left[ \frac{Z_{\text{MB}}}{N!} \right]$$

$$\Rightarrow \ln Z = \ln \left[ \frac{Z_{\text{MB}}}{N!} \right] \quad [\text{classical limit}]$$

- The  $\frac{1}{N!}$  factor associated with the indistinguishability of particles arises naturally in the classical limit of quantum gases. We had to introduce it ad-hoc when we treated the gas classically to avoid the Gibbs paradox.

\* Quantum regime: From the discussion above, the quantum regime must correspond with low temperature ( $\beta \rightarrow \infty$ )

$$\Rightarrow L \ll \lambda \quad [\text{wave function superposition !!}]$$

Let us start from the quantum gas result

$$\overline{n}_r = \frac{1}{e^{\epsilon_r + \beta \epsilon_r} \pm 1} = \frac{1}{e^{\beta(\epsilon_r - \mu)} \pm 1}$$

so that

$$N = \sum_r \bar{n}_r = \sum_r \frac{1}{e^{\beta(\epsilon_r - \mu)} \pm 1} \quad (i)$$

Q: How do we evaluate this sum (i) ??

- Density of states  $\omega(\epsilon)$ : We start from a free particle in Quantum Mechanics within a box of volume  $V = L^3$

$$\hat{H} |\Psi_{\vec{n}}\rangle = \epsilon_{\vec{n}} |\Psi_{\vec{n}}\rangle \Rightarrow \text{Schrödinger equation}$$

$$\text{with } \hat{H} = \frac{\hat{\vec{p}}^2}{2m} \quad \text{and} \quad \hat{\vec{p}} = -i\hbar \vec{\nabla}$$

Then the boundary conditions are compatible with

$$\Psi_{\vec{n}} \propto e^{i\vec{k}\vec{r}} \quad \text{with} \quad \vec{k} = \frac{2\pi}{L} \underbrace{(n_x, n_y, n_z)}_{\vec{n} \in \mathbb{Z} \text{ (discretised)}}$$

so that

$$\Delta k_{x,y,z} = \frac{2\pi}{L} \quad (\text{discretised})$$

The energy of a solution  $\Psi_{\vec{n}}$  is then given by

$$\epsilon_{\vec{n}} = \frac{\hbar^2 \vec{k}^2}{2m} = \left(\frac{2\pi}{L}\right)^2 \frac{\hbar^2}{2m} \vec{n}^2 = \frac{\hbar^2}{2m L^2} \vec{n}^2 \quad (\text{discretised})$$

Important:  $\sum_{\vec{n}} \approx \sum_{\vec{r}} \xrightarrow{L \rightarrow \infty} \int d\vec{r} \approx \int d|\vec{r}| \approx \int d\epsilon$

$\underbrace{\phantom{\sum_{\vec{n}}}}$        $\underbrace{\phantom{\sum_{\vec{r}}}}$       ↓      ↓  
 properly discretised    properly discretised      spherical coordinates       $\epsilon = \epsilon(|\vec{r}|)$

In order to evaluate a sum over microstates  $|r\rangle$  we will take the "Thermodynamics limit"

$L \rightarrow \infty$ ,  $N \rightarrow \infty$  with  $\frac{N}{L} = \text{cte}$

Then, for an arbitrary function  $g(\epsilon_r)$  of a discrete variable  $\epsilon_r$ , one has

$$\sum_r g(\epsilon_r) = \frac{1}{\Delta K_x \Delta K_y \Delta K_z} \sum_{\vec{k}} \Delta K_x \Delta K_y \Delta K_z g(\vec{k})$$

discrete  $\vec{k}$

$$\text{Continuum limit } L \rightarrow \infty \rightarrow = \frac{V}{(2\pi)^3} \int_{-\infty}^{\infty} d\vec{R} \underbrace{g(\vec{R})}_{\downarrow \text{continuous } \vec{R}} = \frac{V}{(2\pi)^3} (4\pi) \int_0^{\infty} d|\vec{R}| |\vec{R}|^2 g(|\vec{R}|)$$

$g(\vec{R}) = g(|\vec{R}|)$

$$\epsilon = \epsilon(\vec{v}) \Leftrightarrow \int_0^{\infty} d \in \omega(\epsilon) g(\epsilon)$$

"density of states"  $\Rightarrow$  It depends on  $\left\{ \begin{array}{l} \Delta \vec{k} \\ E = E(1\vec{k}1) \end{array} \right.$

Ex: Non-relativistic free particle

- $\epsilon(\vec{r}) = \frac{\hbar^2 |\vec{k}|^2}{2m} \Rightarrow |\vec{k}| = \sqrt{\frac{2m\epsilon}{\hbar^2}} = \sqrt{\frac{2m}{\hbar^2}} \epsilon^{\frac{1}{2}}$
- $d\epsilon = \frac{\hbar^2}{m} |\vec{k}| d|\vec{k}| = \underbrace{\frac{\hbar^2}{m} \frac{\sqrt{2m\epsilon}}{\hbar}}_{\sqrt{\frac{2m\hbar^2}{m}}} d|\vec{k}| \Rightarrow d|\vec{k}| = \sqrt{\frac{m}{2\hbar^2}} \epsilon^{-\frac{1}{2}} d\epsilon$

Then

$$\begin{aligned}
 \sum_r g(\epsilon_r) &= \frac{V}{(2\pi)^3} (4\pi) \int_0^\infty d|\vec{k}| |\vec{k}|^3 g(|\vec{k}|) \underbrace{\epsilon^{\frac{1}{2}}}_{\frac{V}{4\pi^2} \left(\frac{2m}{\hbar^2}\right)^{\frac{3}{2}}} \\
 &= \frac{V}{(2\pi)^3} (4\pi) \underbrace{\sqrt{\frac{m}{2\hbar^2}} \left(\frac{2m}{\hbar^2}\right)}_{\frac{V}{4\pi^2} \left(\frac{2m}{\hbar^2}\right)^{\frac{3}{2}}} \int_0^\infty d\epsilon \underbrace{\epsilon^{-\frac{1}{2}} \epsilon^{\frac{1}{2}}}_{g(\epsilon)} g(\epsilon) \\
 &= \int_0^\infty d\epsilon \left[ \frac{V}{4\pi^2} \left(\frac{2m}{\hbar^2}\right)^{\frac{3}{2}} \epsilon^{\frac{1}{2}} \right] g(\epsilon) \\
 &\approx \boxed{\omega(\epsilon) = \frac{V}{4\pi^2} \left(\frac{2m}{\hbar^2}\right)^{\frac{3}{2}} \epsilon^{\frac{1}{2}} \underbrace{g_s}_{\text{spin } s \text{ degeneration: } g_s = 2s+1}}
 \end{aligned}$$

Let's go back to our original question: how to evaluate the sum i)

$$N = \sum_r \bar{n}_r = \sum_r \frac{1}{e^{\beta(\epsilon_r - \mu)} \pm 1} = \sum_r g(\epsilon_r)$$

Using  $\omega(\epsilon)$  for non-relativistic particles one has

$$N = \int_0^\infty \frac{\omega(\epsilon)}{e^{\beta(\epsilon-\mu)} \pm 1} d\epsilon = \frac{V}{4\pi^2} g_s \left( \frac{2m}{\hbar^2} \right)^{\frac{3}{2}} \int_0^\infty \frac{\epsilon^{\frac{1}{2}}}{e^{\beta(\epsilon-\mu)} \pm 1} d\epsilon$$

$$\Rightarrow \frac{N}{V} = \underbrace{\frac{g_s}{4\pi^2} \left( \frac{2m}{\hbar^2} \right)^{\frac{3}{2}}}_C \int_0^\infty \frac{\epsilon^{\frac{1}{2}}}{e^{\beta(\epsilon-\mu)} \pm 1} d\epsilon = C \beta^{-\frac{3}{2}} \int_0^\infty \frac{t^{\frac{1}{2}}}{e^{\frac{t}{z}} \pm 1} dt$$

Remark:  $I(z)$  does not count particles in the ground state ( $\epsilon_0 = 0$ )

$$I(z) = \pm \underbrace{\Gamma\left(\frac{3}{2}\right)}_{\frac{1}{2}\Gamma\left(\frac{1}{2}\right)} \text{Li}_{\frac{3}{2}}(\pm z)$$

Important: We see that, when cooling down a quantum gas to  $T \rightarrow 0$  ( $\beta \rightarrow \infty$ ) while keeping  $\frac{N}{V} = \text{constant}$  there is a relation:

Particles missing?  $\Rightarrow \frac{N}{V} = C \beta^{-\frac{3}{2}} I(z) \Rightarrow z = z(\beta)$

Note: Polylogarithm function  $\text{Li}_{\frac{3}{2}}(z)$  is real-valued in  $z \in [0, 1]$

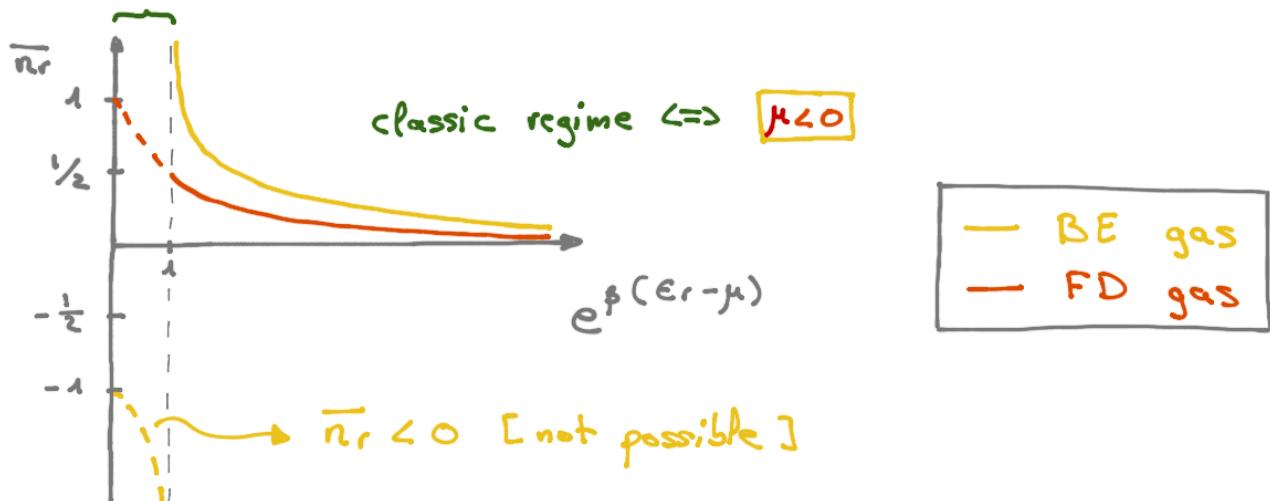
$$\text{Li}_{\frac{3}{2}}(z) = \sum_{k=1}^{\infty} \frac{z^k}{k^{\frac{3}{2}}} \quad \text{so} \quad \begin{cases} \text{Li}_{\frac{3}{2}}(0) = 0 \\ \text{Li}_{\frac{3}{2}}(1) = \frac{3}{2} \left( \frac{3}{2} \right) \approx 2.612 \end{cases}$$

↑ effective consequence of the Remark above

Let's try to understand the curve  $\mu(\beta)$ :

- High-temperature ( $\beta \rightarrow 0$ ): Classic regime  $\Leftrightarrow \mu < 0$
- Low-temperature ( $\beta \rightarrow \infty$ ): Quantum regime  $\Leftrightarrow \mu \geq \epsilon_r \geq 0$

Quantum regime  $\Leftrightarrow \epsilon_r - \mu \leq 0 \Leftrightarrow \mu \geq \epsilon_r \geq 0$



Classic to Quantum  $\Leftrightarrow \mu < 0$  to  $\mu \geq \epsilon_r \geq 0$   
 $(\beta \rightarrow 0)$   $(\beta \rightarrow \infty)$

Bose-Einstein condensate (1.924)  $(T \rightarrow \infty)$   $(T \rightarrow 0)$

\* Let us focus on the ground state  $\approx \epsilon_0 = 0$

$$\bar{n}_0 = \frac{1}{e^{-\beta\mu} - 1} = \frac{1}{z^{-1} - 1} \quad \text{with } z = e^{\beta\mu} \in (0, \infty) \quad \mu \in (-\infty, \infty)$$

Then

$$\mu > 0 \Rightarrow z > 1 \Rightarrow \bar{n}_0 < 0 \text{ (not possible)} \Rightarrow z \in (0, 1] \Rightarrow \mu \leq 0$$

i)  $\mu$  can never grow beyond  $\mu=0$  otherwise  $\bar{n}_0 < 0$  (nonsense)

ii) When  $\mu=0$  then, at very low  $T$ , one has

$$\bar{n}_r = \frac{1}{e^{\beta\epsilon_r} - 1} \Big|_{\beta \rightarrow \infty} \approx 0 \quad [\langle r \rangle \neq \langle 0 \rangle]$$

"Bose-Einstein condensation"

Q: At which temperature  $T_c$  does the condensation happen?

$$I(z=1) = C^{-1} \beta_c^{\frac{3}{2}} \frac{N}{V} \Rightarrow \beta_c = \left[ C \frac{V}{N} \Gamma(\frac{5}{2}) \zeta(\frac{3}{2}) \right]^{\frac{2}{3}}$$

$$[g_s=1] \Rightarrow T_c = \frac{1}{k_B} \frac{2\pi\hbar^2}{m} \left[ \frac{N}{V} \frac{1}{\zeta(\frac{5}{2})} \right]^{\frac{2}{3}}$$

"Critical temperature"

Fermi sphere [1978, experimentally]

[Nobel prize 2001]  
(Cornell, Wieman, Ketterle)

\* Fermions cannot all go to the ground state [Pauli]

\* Let us focus on a one-particle state  $|r\rangle$  at low  $T$

$$\bar{n}_r = \frac{1}{e^{\beta(\epsilon_r - \mu)} + 1} \Big|_{\beta \rightarrow \infty} \approx \begin{cases} 1 & \text{for } \epsilon_r < \mu \\ 0 & \text{for } \epsilon_r > \mu \end{cases}$$

Important: States with  $\epsilon_r < \mu$  are filled while states with  $\epsilon_r > \mu$  are empty

Important: Given the value  $\mu(T=0) \equiv \epsilon_F$  "Fermi energy" states with  $\epsilon < \epsilon_F$  are filled. In momentum space this defines the "Fermi sphere"

$$\epsilon_F = \frac{p_F^2}{2m} = \frac{\hbar^2 k_F^2}{2m} \Rightarrow k_F = \frac{\sqrt{2m\epsilon_F}}{\hbar} = \text{"Fermi surface"}$$

Q: How do we determine  $\epsilon_F = \mu(T=0) \equiv k_B T_F$

↳ "Fermi temperature"

$$\frac{N}{V} = \underbrace{\frac{g_s}{4\pi^2} \left(\frac{2m}{\hbar^2}\right)^{\frac{3}{2}}}_C \int_0^\infty \frac{\epsilon^{\frac{1}{2}}}{e^{\beta(\epsilon - \epsilon_F)} + 1} d\epsilon$$

$$\underset{\beta \rightarrow \infty}{\curvearrowleft} \approx C \int_0^{\epsilon_F} \epsilon^{\frac{1}{2}} d\epsilon = C \left[ \frac{2}{3} \epsilon^{\frac{3}{2}} \right]_0^{\epsilon_F}$$

$$= \frac{2}{3} C \epsilon_F^{\frac{3}{2}} \Rightarrow \boxed{\epsilon_F = \left[ \frac{3}{2} C^{-1} \frac{N}{V} \right]^{\frac{2}{3}} > 0}$$

$$\Rightarrow \mu(T=0) = \epsilon_F > 0$$

NOTE: While cooling down the Fermi gas, the chemical potential  $\mu$  grows till reaching a maximal permitted value

$$\mu(T=0) = \epsilon_F = \left[ \frac{6\pi^2}{g_s} \frac{N}{V} \right]^{\frac{2}{3}} \frac{\hbar^2}{2m} > 0$$

Application: Modelling of metals as free electron gases.

## vii. Black body radiation (thermal electromagnetic radiation)

Let us focus on the photon statistics and study the black body radiation. This is a gas of photons inside a cavity at temperature  $T$ . According to Planck distribution

$$\overline{n_r} = \frac{1}{e^{\beta \epsilon_r} - 1}$$

\* Reminder about electromagnetism: Let us start from the electromagnetic wave equation in vacuum

$$\nabla^2 \vec{E} = \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \vec{E}$$

which has solutions of the form

$$\vec{E} = \underbrace{\vec{A} \cdot e}_{\text{cte}}^{i(\vec{k} \cdot \vec{r} - \omega t)}$$

provided  $(i\vec{k})^2 = \frac{1}{c^2} (-i\omega)^2 \Rightarrow |\vec{k}| = \frac{\omega}{c}$

In a relativistic framework one has that

$$P_\mu = (P_0, \vec{p}) = (\epsilon, \vec{p}) = \hbar(\omega, \vec{k}) \quad \text{with} \quad |\vec{k}| = \frac{\omega}{c}$$

and one of the Maxwell equations reads

$$\vec{\nabla} \cdot \vec{E} = (i\vec{k}) \cdot \vec{A} \cdot e^{i(\vec{k} \cdot \vec{r} - \omega t)} = i\vec{k} \cdot \vec{E} = 0$$

$$\Rightarrow \vec{k} \cdot \vec{E} = 0$$

$\vec{E}$  is transverse to the direction of propagation  $\vec{k}$

$\Rightarrow 2 \text{ d.o.f. [polarisations]}$

Let us define

- $f(\vec{k}) d^3\vec{k} \equiv$  Mean number of photons per unit volume with one specified polarisation whose wave vector lies between  $\vec{k}$  and  $\vec{k} + d\vec{k}$

Then one has that  $\sum_{\vec{n}} \rightarrow \frac{V}{(2\pi)^3} \sum_{\vec{k}}$

$$f(\vec{k}) d^3\vec{k} = \frac{1}{e^{\beta\hbar\omega} - 1} \frac{1}{(2\pi)^3} d^3\vec{k}$$

$$d^3\vec{k} = 4\pi |\vec{k}|^2 d|\vec{k}| \quad \Rightarrow \quad = \frac{1}{e^{\beta\hbar\omega} - 1} \frac{1}{2\pi^2} |\vec{k}|^2 d|\vec{k}|$$

$$|\vec{k}| = \frac{\omega}{c} \quad \Rightarrow \quad = \frac{1}{e^{\beta\hbar\omega} - 1} \frac{4\pi}{(2\pi c)^3} \omega^2 d\omega$$

Keeping in mind that there are two independent polarisations we arrive at

$$\begin{aligned} 2 f(\vec{k}) d^3\vec{k} &= 2 f(|\vec{k}|) 4\pi |\vec{k}|^2 d|\vec{k}| \\ \downarrow \text{polarisations} \quad |\vec{k}| = \frac{\omega}{c} &= f(|\vec{k}|) \frac{8\pi}{c^3} \omega^2 d\omega \\ &= \frac{1}{e^{\beta\hbar\omega} - 1} \frac{8\pi}{(2\pi c)^3} \omega^2 d\omega \end{aligned}$$

Finally we define

- $\bar{u}(\omega; T) d\omega \equiv$  Mean energy per unit volume of photons of both directions of polarisation with frequency between  $\omega$  and  $\omega + d\omega$

Then one has that

$$\begin{aligned}\bar{u}(\omega; T) d\omega &= \underbrace{2 f(1|\vec{k}|) 4\pi |\vec{k}|^2}_{\text{energy per photon}} d|\vec{k}| \times (\hbar\omega) \\ &= \frac{\hbar}{e^{\beta\hbar\omega} - 1} \frac{8\pi}{(2\pi c)^3} \omega^3 d\omega \\ &= \frac{\hbar}{\pi^2 c^3} \frac{\omega^3}{e^{\beta\hbar\omega} - 1} d\omega\end{aligned}$$

Introducing  $\eta = \beta\hbar\omega = \frac{\hbar\omega}{kT}$  then

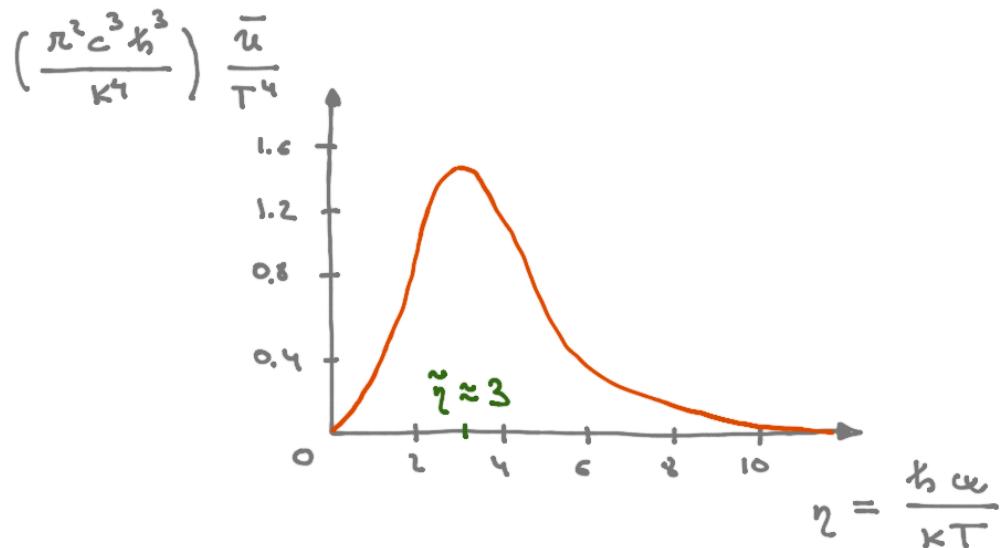
$$\bar{u}(\eta, T) d\eta = \frac{\hbar}{\pi^2 c^3} \left(\frac{kT}{\hbar}\right)^4 \frac{\eta^3}{e^\eta - 1} d\eta$$

The mean total energy is then given by

$$\bar{u}_0(T) = \frac{\hbar}{\pi^2 c^3} \left(\frac{kT}{\hbar}\right)^4 \underbrace{\int_0^\infty \frac{\eta^3}{e^\eta - 1} d\eta}_{\frac{\pi^4}{15}} = \frac{\pi^2}{15} \frac{(kT)^4}{(\hbar c)^3} \propto T^4$$

"Stefan-Boltzmann law"  
(1879, 1884)

Lastly it is instructive to discuss the following plot



$\Rightarrow$  Maximum at

$$\tilde{\eta} = 3 \approx \underbrace{\frac{h\tilde{\omega}_1}{kT_1} = \frac{h\tilde{\omega}_2}{kT_2}}_{\text{Scaling behaviour}} = \tilde{\eta}$$

$$\frac{\tilde{\omega}_1}{T_1} = \frac{\tilde{\omega}_2}{T_2} \quad \text{"Wien's displacement law (1893)"} \quad \text{with } \tilde{\eta} = 3$$

$\Rightarrow$  Universality of black body radiation !!

- Examples :
- Black bodies (absorbing all incident electromagnetic radiation, although they can also emit radiation)
  - Cosmic Microwave Background (CMB)
  - Stars and planets (to a good approximation)
  - Black holes