

# Mass deformations & $SL(2)$ angles from exceptional field theory

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Supergravity at 40



40 years ago...

# Reduction of SYM in 10D

- Masses in 4D from reduction of **non-abelian**

SYM in 10D

[  $f$  = Lie algebra structure constants ]

## SUPERSYMMETRIC YANG-MILLS THEORIES \*

Lars BRINK \*\* and John H. SCHWARZ  
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Received 22 December 1976

Yang-Mills theories with simple supersymmetry are constructed in 2, 4, 6, and 10 dimensions, and it is argued that these are essentially the only cases possible. The method of dimensional reduction is then applied to obtain various Yang-Mills theories with extended supersymmetry in two and four dimensions. It is found that all possible four-dimensional Yang-Mills theories with extended supersymmetry are obtained in this way.

[ Brink, Scherk & Schwarz '76 ]

KK reduction

$$L_{10D} = -\frac{1}{4} F^2 + \frac{i}{2} \bar{\lambda} \not{D} \lambda \quad \longrightarrow \quad L_{4D} = -\frac{1}{4} F^2 + i \bar{\lambda} \not{D} \lambda - D_\mu M D^\mu M^{-1} - \frac{i}{2} f M \bar{\lambda} \lambda + \text{c.c} - \frac{1}{4} f f M^{-1} M^{-1} M M$$

Lower-dimensional masses from higher-dimensional deformations

# Reduction of gravity theories in 10D/11D

- Masses in 4D from reduction of gravity theories in 10D/11D with **non-trivial** internal profiles

$$\Phi_m(x, y) = U(y) m^n \Phi_n(x)$$

$$f = U^{-1} U^{-1} \partial U = cte$$

[  $f$  = Lie algebra structure constants ]

## HOW TO GET MASSES FROM EXTRA DIMENSIONS

J. SCHERK and John H. SCHWARZ \*

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Received 19 February 1979

A generalized method of dimensional reduction, applicable to theories in curved space, is described. As in previous works by other authors, the extra dimensions are related to the manifold of a Lie group. The new feature of this work is to define and study a class of Lie groups, called "flat groups", for which the resulting theory has no cosmological constant, a well-behaved potential, and a number of arbitrary mass parameters. In particular, when the analysis is applied to the reduction of 11-dimensional supergravity to four dimensions it becomes possible to incorporate three arbitrary mass parameters in the resulting  $N = 8$  theory. This shows that extended supersymmetry theories allow more possibilities for spontaneous symmetry breaking than was previously believed to be the case.

[ Scherk & Schwarz '79 ]

SS reduction

$$L_{10D/11D} = e R \quad \rightarrow \quad L_{4D} = e R - \frac{1}{4} M F^2 - D_\mu M D^\mu M^{-1}$$

$$- 2 f f M^{-1} - f f M M^{-1} M^{-1}$$

**Lower-dimensional masses from non-trivial internal dependence**

After 40 years, a new framework where to jointly describe gravitational and gauge aspects of *massless* maximal supergravities in 10D/11D has been constructed...

# Exceptional Field Theory (EFT)

[ Hohm & Samtleben '13 ]

- Space-time : external (  $11-n$  ) + **generalised internal** (  $y^{\mathcal{M}}$  coordinates in  $E_{n(n)}$  rep. )  
[ momentum, winding, ... ]

Generalised diffs = *ordinary internal diffs* + *internal gauge transfos*

- Generalised Lie derivative built from an  $E_{n(n)}$ -invariant **structure  $Y$ -tensor**  
[ specific for each  $n$  ]

- Closure requires a **section constraint** :

$$Y^{\mathcal{P}\mathcal{Q}}{}_{\mathcal{M}\mathcal{N}} \partial_{\mathcal{P}} \otimes \partial_{\mathcal{Q}} = 0$$

Two maximal solutions : M-theory (  $n$  dimensional ) & Type IIB (  $n-1$  dimensional )  
[ **massless** theories ]

Question : Is there an *exceptional* story behind **massive** IIA too ?

[ Romans '86 ]

[ Hohm & Kwak '11 (non-geometric DFT) ]

# How to get masses from EFT

Clues:

[ Romans '86 ]

[ Behrndt & Cvetič '04 ]

[ Lüst & Tsimpis '04 ]

[ Kashani-Poor '07 ]

[ A.G. & Varela '15 ]

[ Schwarz '04 ]

[ Gaiotto & Tomasiello '09 ]

[ A.G., Jafferis & Varela '15 ]

## 1. Deformations of EFT (à la SYM)

[ Motivation = Massive IIA ]

[arXiv:1604.08602](#) with Ciceri and Inverso

## 2. Generalised Scherk-Schwarz reductions (à la gravity)

[ Motivation = fluxes and moduli stabilisation ]

[work in progress](#)

# Overview

- 1. Deformations of EFT and massive IIA**
2. Scherk-Schwarz reductions: DFT at  $SL(2)$  angles
3. What next ?



# X deformation of EFT (XFT)

- Generalised Lie derivative

[ no density term ]

$$\mathbb{L}_\Lambda U^\mathcal{M} = \Lambda^\mathcal{N} \partial_\mathcal{N} U^\mathcal{M} - U^\mathcal{N} \partial_\mathcal{N} \Lambda^\mathcal{M} + Y^{\mathcal{MN}}{}_{\mathcal{PQ}} \partial_\mathcal{N} \Lambda^\mathcal{P} U^\mathcal{Q}$$

in terms of an  $E_{n(n)}$ -invariant **structure Y-tensor**. Closure requires **sec. constraint**

- **Deformed** generalised Lie derivative

$$\tilde{\mathbb{L}}_\Lambda U^\mathcal{M} = \Lambda^\mathcal{N} \partial_\mathcal{N} U^\mathcal{M} - U^\mathcal{N} \partial_\mathcal{N} \Lambda^\mathcal{M} + Y^{\mathcal{MN}}{}_{\mathcal{PQ}} \partial_\mathcal{N} \Lambda^\mathcal{P} U^\mathcal{Q} - \underbrace{X_{\mathcal{NP}}{}^\mathcal{M}}_{\text{non-derivative}} \Lambda^\mathcal{N} U^\mathcal{P}$$

in terms of an **X deformation** which is  $E_{n(n)}$ -algebra valued

non-derivative

- Closure & triviality of the Jacobiator require ( together with **sec. constraint** )

$$X_{\mathcal{MN}}{}^\mathcal{P} \partial_\mathcal{P} = 0$$

X constraint

$$X_{\mathcal{MP}}{}^\mathcal{Q} X_{\mathcal{NQ}}{}^\mathcal{R} - X_{\mathcal{NP}}{}^\mathcal{Q} X_{\mathcal{MQ}}{}^\mathcal{R} + X_{\mathcal{MN}}{}^\mathcal{Q} X_{\mathcal{QP}}{}^\mathcal{R} = 0$$

Quadratic constraint (gauged max. supergravity)

# X deformation : background fluxes & Romans mass

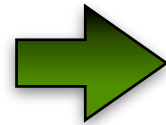
$$Y^{\mathcal{P}\mathcal{Q}}{}_{MN} \partial_{\mathcal{P}} \otimes \partial_{\mathcal{Q}} = 0$$

section constraint

$$X_{MN}{}^{\mathcal{P}} \partial_{\mathcal{P}} = 0$$

X constraint

[ algebraic system ]



## M-theory ( n coords )

- SL(n) orbit
- Freund-Rubin param. ( n = 4 and n = 7 )
- *massless* IIA (subcase)

## Type IIB ( n-1 coords )

- SL(n-1) orbit
- p-form fluxes compatible with SL(n-1)
- **SL(2)-triplet of 1-form flux** ( includes compact SO(2) )

[ QC = flux-induced tadpoles ]

# X deformation : background fluxes & Romans mass

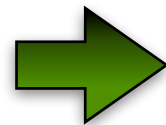
$$Y^{\mathcal{P}\mathcal{Q}}{}_{MN} \partial_{\mathcal{P}} \otimes \partial_{\mathcal{Q}} = 0$$

section constraint

$$X_{MN}{}^{\mathcal{P}} \partial_{\mathcal{P}} = 0$$

X constraint

[ algebraic system ]



## M-theory ( n coords )

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- SL(n-1) orbit
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- **SL(2)-triplet of 1-form flux** ( includes compact SO(2) )

+

## New massive Type IIA ( n-1 coords )

- SL(n-1) orbit
- p-form fluxes compatible with SL(n-1)
- dilaton flux
- **Romans mass parameter** ( kills the M-theory coord )

**Massive Type IIA described in a purely geometric manner !!**

[ QC = flux-induced tadpoles ]

# EFT action ( $n=7$ , $D=4$ )

- $E_{7(7)}$ -EFT action [  $\mathcal{D}_\mu = \partial_\mu - \mathbb{L}_{A_\mu}$  ] [  $y^{\mathcal{M}}$  coords in the **56** of  $E_{7(7)}$  ]

$$S_{\text{EFT}} = \int d^4x d^{56}y e \left[ \hat{R} + \frac{1}{48} g^{\mu\nu} \mathcal{D}_\mu \mathcal{M}^{\mathcal{M}\mathcal{N}} \mathcal{D}_\nu \mathcal{M}_{\mathcal{M}\mathcal{N}} - \frac{1}{8} \mathcal{M}_{\mathcal{M}\mathcal{N}} \mathcal{F}^{\mu\nu\mathcal{M}} \mathcal{F}_{\mu\nu}{}^{\mathcal{N}} + e^{-1} \mathcal{L}_{\text{top}} - V_{\text{EFT}}(\mathcal{M}, g) \right]$$

[ Hohm & Samtleben '13 ]

with field strengths & potential given by

$$\mathcal{F}_{\mu\nu}{}^{\mathcal{M}} = 2 \partial_{[\mu} A_{\nu]}{}^{\mathcal{M}} - [A_\mu, A_\nu]_{\mathbb{E}}{}^{\mathcal{M}} + \text{two-form terms} \quad (\text{tensor hierarchy})$$

$$V_{\text{EFT}}(\mathcal{M}, g) = -\frac{1}{48} \mathcal{M}^{\mathcal{M}\mathcal{N}} \partial_{\mathcal{M}} \mathcal{M}^{\mathcal{K}\mathcal{L}} \partial_{\mathcal{N}} \mathcal{M}_{\mathcal{K}\mathcal{L}} + \frac{1}{2} \mathcal{M}^{\mathcal{M}\mathcal{N}} \partial_{\mathcal{M}} \mathcal{M}^{\mathcal{K}\mathcal{L}} \partial_{\mathcal{L}} \mathcal{M}_{\mathcal{N}\mathcal{K}} - \frac{1}{2} g^{-1} \partial_{\mathcal{M}} g \partial_{\mathcal{N}} \mathcal{M}^{\mathcal{M}\mathcal{N}} - \frac{1}{4} \mathcal{M}^{\mathcal{M}\mathcal{N}} g^{-1} \partial_{\mathcal{M}} g g^{-1} \partial_{\mathcal{N}} g - \frac{1}{4} \mathcal{M}^{\mathcal{M}\mathcal{N}} \partial_{\mathcal{M}} g^{\mu\nu} \partial_{\mathcal{N}} g_{\mu\nu}$$

- **Two**-derivative potential : **ungauged** 4D max. sugra when  $\Phi(x, y) = \Phi(x)$

# XFT action ( $n=7$ , $D=4$ )

- $E_{7(7)}$ -XFT action [  $\mathcal{D}_\mu = \partial_\mu - \tilde{\mathbb{L}}_{A_\mu}$  ] [  $y^{\mathcal{M}}$  coords in the **56** of  $E_{7(7)}$  ]

$$S_{\text{XFT}} = \int d^4x d^{56}y e \left[ \hat{R} + \frac{1}{48} g^{\mu\nu} \mathcal{D}_\mu \mathcal{M}^{\mathcal{MN}} \mathcal{D}_\nu \mathcal{M}_{\mathcal{MN}} - \frac{1}{8} \mathcal{M}_{\mathcal{MN}} \mathcal{F}^{\mu\nu\mathcal{M}} \mathcal{F}_{\mu\nu}{}^{\mathcal{N}} + e^{-1} \mathcal{L}_{\text{top}} - V_{\text{XFT}}(\mathcal{M}, g) \right]$$

with field strengths & potential given by

( deformed tensor hierarchy )

$$\mathcal{F}_{\mu\nu}{}^{\mathcal{M}} = 2 \partial_{[\mu} A_{\nu]}{}^{\mathcal{M}} + X_{[\mathcal{P}\mathcal{Q}]}{}^{\mathcal{M}} A_\mu{}^{\mathcal{P}} A_\nu{}^{\mathcal{Q}} - [A_\mu, A_\nu]_{\mathbb{E}}{}^{\mathcal{M}} + \text{two-form terms}$$

$$V_{\text{XFT}}(\mathcal{M}, g, X) = V_{\text{EFT}}(\mathcal{M}, g) + \underbrace{\frac{1}{12} \mathcal{M}^{\mathcal{MN}} \mathcal{M}^{\mathcal{KL}} X_{\mathcal{MK}}{}^{\mathcal{P}} \partial_{\mathcal{N}} \mathcal{M}_{\mathcal{PL}}}_{\text{cross term}} + \underbrace{V_{\text{SUGRA}}(\mathcal{M}, X)}_{\text{gauged max. sugra}}$$

- Two-One-Zero-derivative potential : **gauged** 4D max. sugra when  $\Phi(x, y) = \Phi(x)$

# Application : massive IIA on $S^{n-1}$ ( $2 < n < 8$ )

**Massless IIA** reductions on  $S^{n-1}$  to gauged maximal sugra : EFT framework

**Massive IIA** reductions on  $S^{n-1}$  to gauged maximal sugra : XFT framework

**Question :** when is a consistent reduction Ansatz for *massless* IIA also consistent for *massive* IIA ?

[ Cvetič , Lü & Pope '00 ]

[ Lee, Strickland-Constable & Waldram '14 ]

**Procedure :**

[ Hohm & Samtleben '14 ]

- a) *Massless* IIA : generalised twist matrices valued in  $SL(n)$
- b) Romans mass introduced as an  $X^R$  deformation
- c) Consistency requires the *stabiliser* of  $X^R$  in  $E_{n(n)}$  to contain  $SL(n)$

**Answer :** Only **massive IIA on  $S^6$**  works ( $n=7$ ) !!

[ A.G. & Varela '15 ]

# Overview

1. Deformations of EFT and massive IIA
- 2. Scherk-Schwarz reductions: DFT at  $SL(2)$  angles**
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# From EFT to SL(2)-DFT

- Halving EFT with  $E_{7(7)}$  symmetry to obtain SL(2)-DFT with  $SL(2) \times SO(6,6)$  symmetry

$E_{7(7)}$	$\rightarrow$	$SL(2) \times SO(6,6)$	$\alpha = ( + , - )$ vector index of SL(2)
<b>56</b>	$\rightarrow$	<b>(2, 12) + (1, 32)</b>	$M$ vector index of SO(6,6)
$y^{\mathcal{M}}$	$\rightarrow$	$y^{\alpha M} + \cancel{y^A}$	$A$ M-W spinor index of SO(6,6)
EFT		SL(2)-DFT	

[ see Dibitetto, A.G. & Roest '11 for sugra ]

via a **Z<sub>2</sub> truncation** ( *vector* = +1 , *spinor* = -1 ) on coordinates, fields, etc.

[ Hull & Zwiebach '09 ] [ Hohm, Hull & Zwiebach '10 ]

- SL(2)-DFT generalised Lie derivative

[ DFT corresponds to an  $\alpha = +$  orientation ]

$$\mathbb{L}_\Lambda U^{\alpha M} = \Lambda^{\beta N} \partial_{\beta N} U^{\alpha M} - U^{\beta N} \partial_{\beta N} \Lambda^{\alpha M} + \eta^{MN} \eta_{PQ} \partial_{\beta N} \Lambda^{\beta P} U^{\alpha Q} + 2 \epsilon^{\alpha\beta} \epsilon_{\gamma\delta} \partial_{\beta N} \Lambda^{\gamma[M} U^{|\delta|N]}$$

- SL(2)-DFT section constraints :

$$\eta^{MN} \partial_{\alpha M} \otimes \partial_{\beta N} = 0 \quad , \quad \epsilon^{\alpha\beta} \partial_{\alpha[M} \otimes \partial_{\beta|N]} = 0$$

- SL(2)-DFT action extendable to  $SL(2) \times SO(6,6+n_v)$  and also X-deformable

[ see Hohm & Kwak '11 for Het-DFT ]

[ additional deform. params ]



# Section constraint & SL(2) angles

- One maximal solution of sec. constraint : it describes Type I/Heterotic [ as in DFT ]

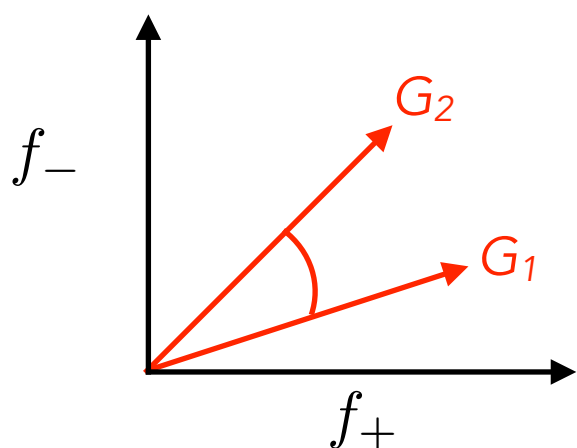
- Generalised SS reductions with **SL(2) x O(6,6)** twist matrices  $U_{\alpha M}{}^{\beta N} = e^\lambda e_\alpha{}^\beta U_M{}^N$  yield **N=4 gauging parameters**

$$f_{\alpha MNP} = -3 e^{-\lambda} e_\alpha{}^\beta \eta_{Q[M} U_N{}^R U_P]{}^S \partial_{\beta R} U_S{}^Q$$

$$\xi_{\alpha M} = 2 U_M{}^N \partial_{\beta N} (e^{-\lambda} e_\alpha{}^\beta)$$

[ de Roo & Wagemans '85 ]

- Moduli stabilisation **requires** gaugings  $G = G_1 \times G_2$  at **relative** SL(2) angles



( sec. constraint **violated** )

$$\epsilon^{\alpha\beta} \partial_{\alpha[M} \otimes \partial_{\beta|N]} \neq 0$$

[ **not** possible in DFT ]



# Example : SO(4) x SO(4) gaugings and non-geometry

- SS with  $U(y^{\alpha M}) \in O(6, 6)$  : Half of the coords of **type +** & half of **type -**
- SL(2)-superposition of *two chains* of **non-geometric** fluxes  $(H, \omega, Q, R)_{\pm}$

$f_+$	$f_{+abc} = H^{(+)}_{abc}$ , $f_{+ijk} = H^{(+)}_{ijk}$ , $f_{+ab\bar{c}} = \omega^{(+)}_{ab}{}^c$ , $f_{+ij\bar{k}} = \omega^{(+)}_{ij}{}^k$ $f_{+\bar{a}\bar{b}\bar{c}} = Q^{(+)\bar{a}\bar{b}}{}_{\bar{c}}$ , $f_{+\bar{i}\bar{j}\bar{k}} = Q^{(+)\bar{i}\bar{j}}{}_{\bar{k}}$ , $f_{+\bar{a}\bar{b}\bar{c}} = R^{(+)\bar{a}\bar{b}\bar{c}}$ , $f_{+\bar{i}\bar{j}\bar{k}} = R^{(+)\bar{i}\bar{j}\bar{k}}$
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$f_-$	$f_{-ijk} = H^{(-)}_{ijk}$ , $f_{-abc} = H^{(-)}_{abc}$ , $f_{-ij\bar{k}} = \omega^{(-)}_{ij}{}^k$ , $f_{-ab\bar{c}} = \omega^{(-)}_{ab}{}^c$ $f_{-\bar{i}\bar{j}\bar{k}} = Q^{(-)\bar{i}\bar{j}}{}_{\bar{k}}$ , $f_{-\bar{a}\bar{b}\bar{c}} = Q^{(-)\bar{a}\bar{b}}{}_{\bar{c}}$ , $f_{-\bar{i}\bar{j}\bar{k}} = R^{(-)\bar{i}\bar{j}\bar{k}}$ , $f_{-\bar{a}\bar{b}\bar{c}} = R^{(-)\bar{a}\bar{b}\bar{c}}$
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## Most general family (8 params) of SO(4) x SO(4) gaugings of N=4 sugra

- SO(4) x SO(4) N=4 sugra : **AdS** & **dS** vacua ( sphere/hyperboloid reductions )

[ de Roo, Westra, Panda & Trigiante '03 ]

[ Dibitetto, A.G. & Roest '12 ]

- "Hybrid  $\pm$ " sources to cancel flux-induced tadpoles (QC) : *dual branes* ?

[ Bergshoeff, de Roo, Kerstan, Ortín & Riccioni '06 ]

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- 3. What next ?**

# Future directions

- $X$  constraint  $X_{MN}{}^P \partial_P = 0$  and mutually BPS states [ Bossard & Kleinschmidt '15 ]  
[ Bandos '15 ]
- Connection of  $X$  deformation to other approaches [ du Bosque, Hassler, Lüst '15 ]
- The role of the maximal compact subgroups [ Bandos & Ortín '15 ]  
[ Aldazabal, Andres, Cámara & Graña '15 ]
- Flux formulation of SL(2)-DFT : sec. constraint, branes and non-geometry  
[ Aldazabal, Graña, Marqués & Rosabal '13 ]
- SL(2)-DFT and massive IIA sphere reductions to *half-maximal* supergravity  
[ Cassani, Felice, Petrini, Strickland-Constable & Waldram '16 ]
- Cosmological applications of SL(2)-DFT ( moduli stab, de Sitter, inflation, ... )  
[ Hassler, Lüst & Massai '14 ]

Thank you !!